

RSA[®]Conference2019

San Francisco | March 4–8 | Moscone Center



BETTER.

SESSION ID: MLAI-W03

Attacking Machine Learning: On the *Security* and *Privacy* of Neural Networks

Nicholas Carlini

*Research Scientist,
Google Brain*



#RSAC

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**Act I:
On the Security and Privacy
of Neural Networks**

Let's play a game





67% it is a
Great Dane





83% it is a

**Old English
Sheepdog**





78% it is a

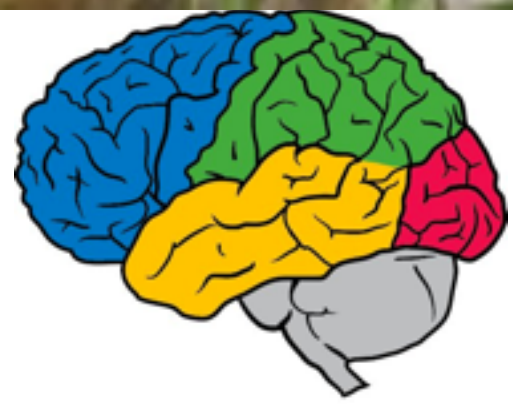
**Greater Swiss
Mountain Dog**





99.99% it is

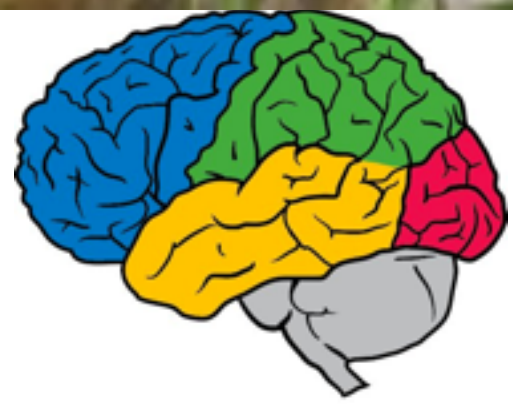
Guacamole





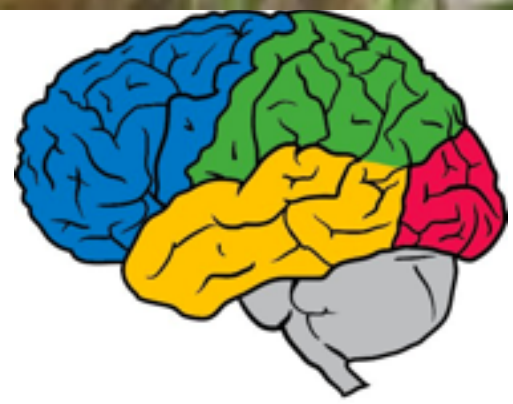
99.99% it is a

Golden Retriever





99.99% it is
Guacamole





76% it is a
45 MPH Sign

K Eykholt, I Evtimov, E Fernandes, B Li, A Rahmati, C Xiao, A Prakash, T Kohno, D Song.
Robust Physical-World Attacks on Deep Learning Visual Classification. 2017



Adversarial Examples

- B. Biggio, I. Corona, D. Maiorca, B. Nelson, N. Srndic, P. Laskov, G. Giacinto, and F. Roli. Evasion attacks against machine learning at test time. 2013.
- C. Szegedy, W. Zaremba, I. Sutskever, J. Bruna, D. Erhan, I. Goodfellow, and R. Fergus. Intriguing properties of neural networks. 2014.
- I. Goodfellow, J. Shlens, and C. Szegedy. Explaining and harnessing adversarial examples. 2015.



What do you think this transcribes as?

N Carlini, D Wagner. Audio Adversarial Examples: Targeted Attacks on Speech-to-Text. 2018



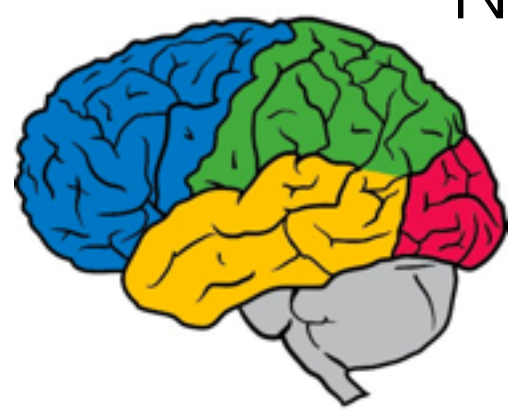
"It was the best of times,
it was the worst of times,
it was the age of wisdom,
it was the age of foolishness,
it was the epoch of belief,
it was the epoch of incredulity"

N Carlini, D Wagner. Audio Adversarial Examples: Targeted Attacks on Speech-to-Text. 2018





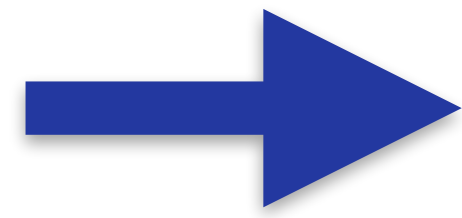
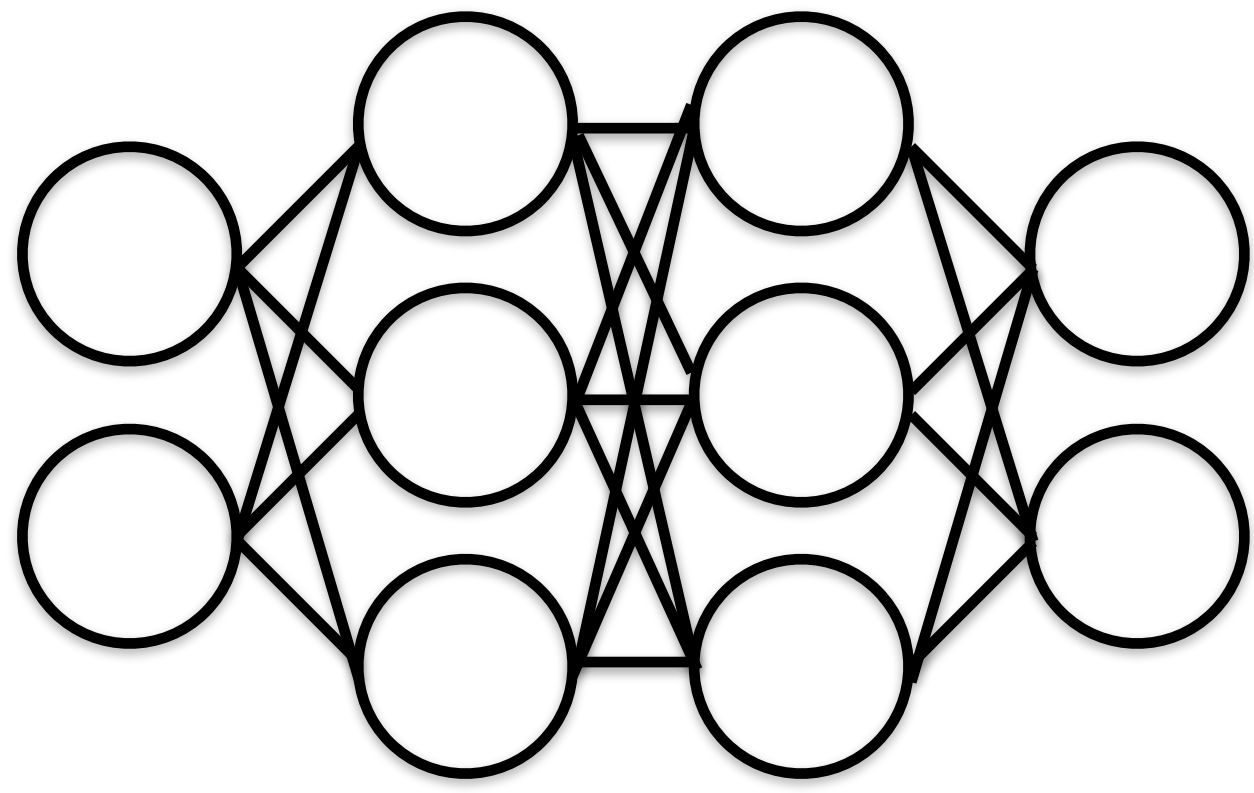
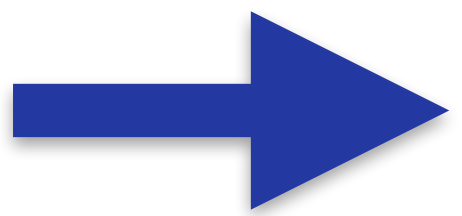
N Carlini, P Mishra, T Vaidya, Y Zhang, M Sherr, C Shields, D Wagner, W Zhou. Hidden Voice Commands. 2016



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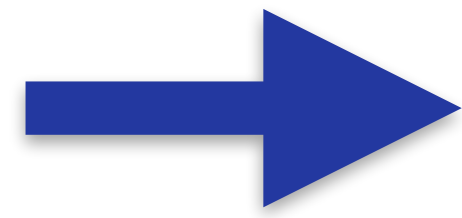
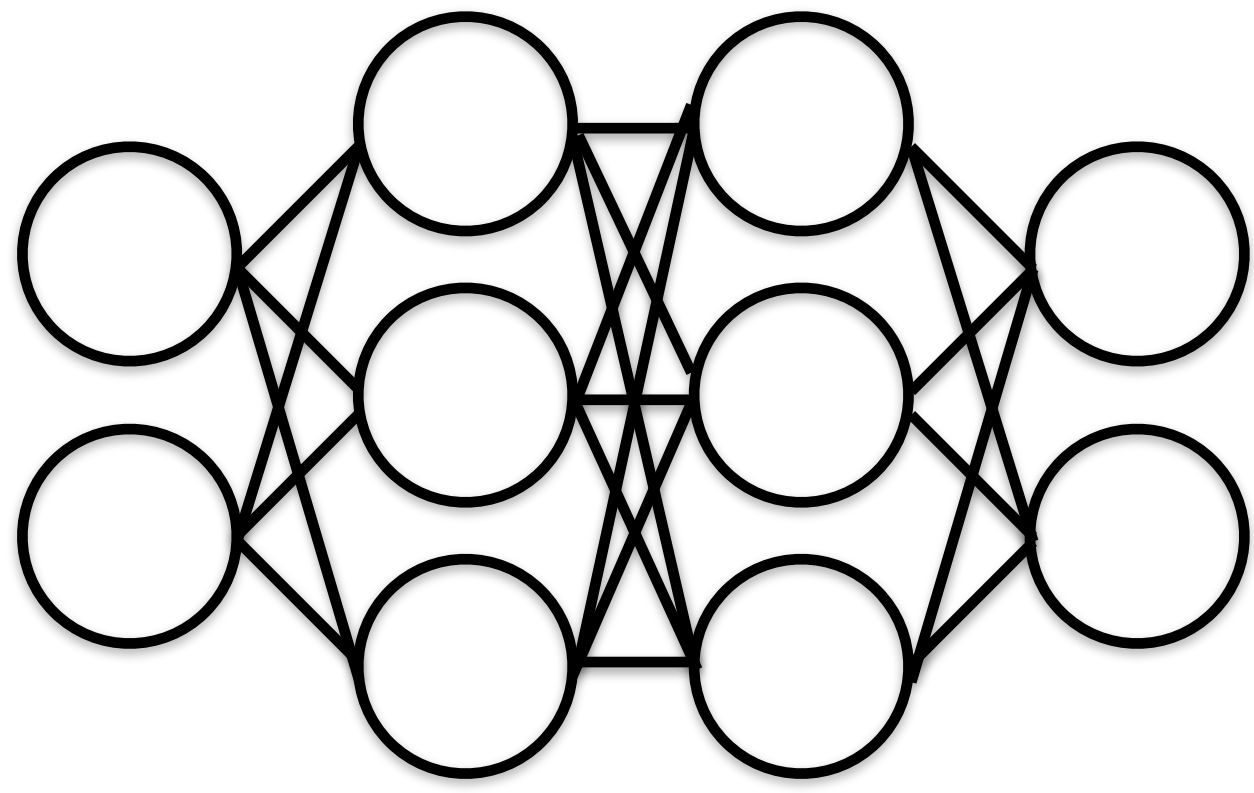
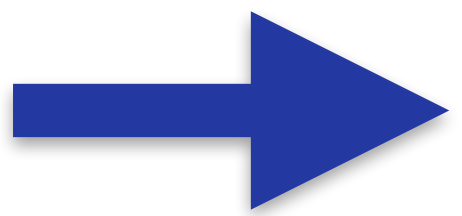
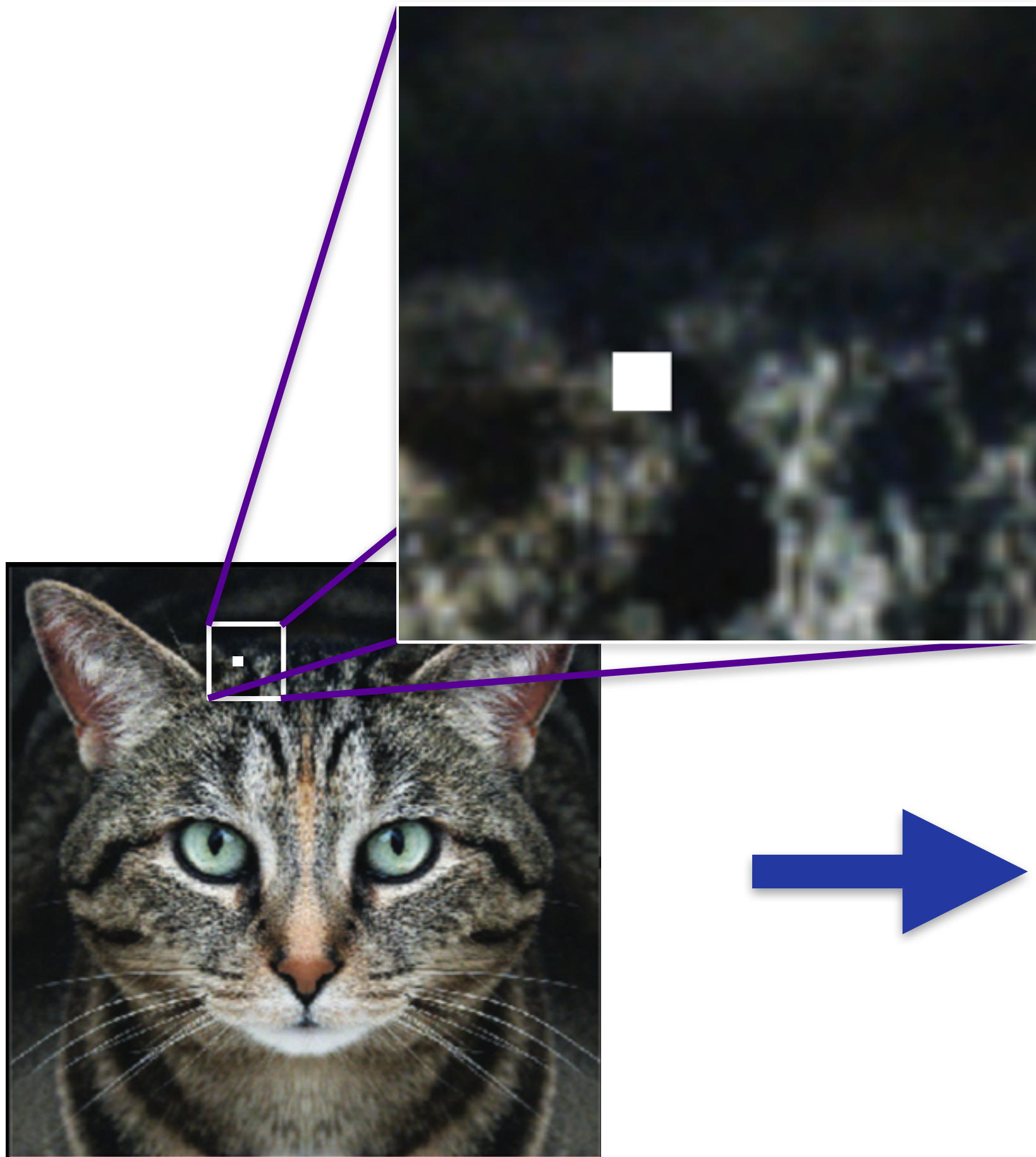
Constructing Adversarial Examples

The background features a complex, abstract pattern of overlapping circles and lines in a light blue color, set against a dark blue gradient. The lines and circles are thin and create a sense of depth and movement, resembling a network or a data visualization.



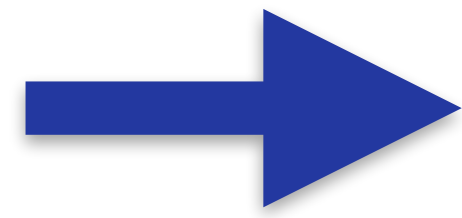
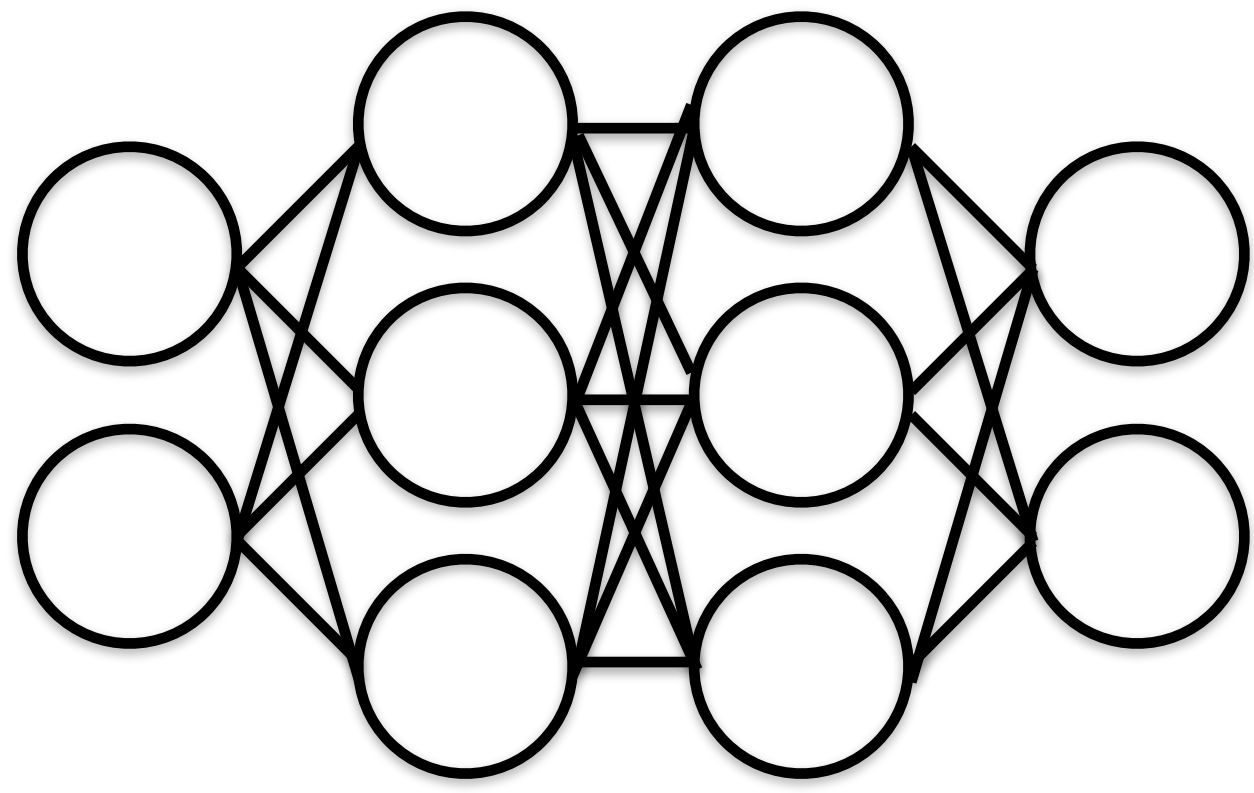
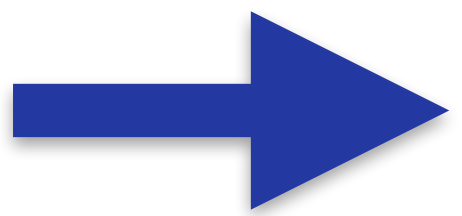
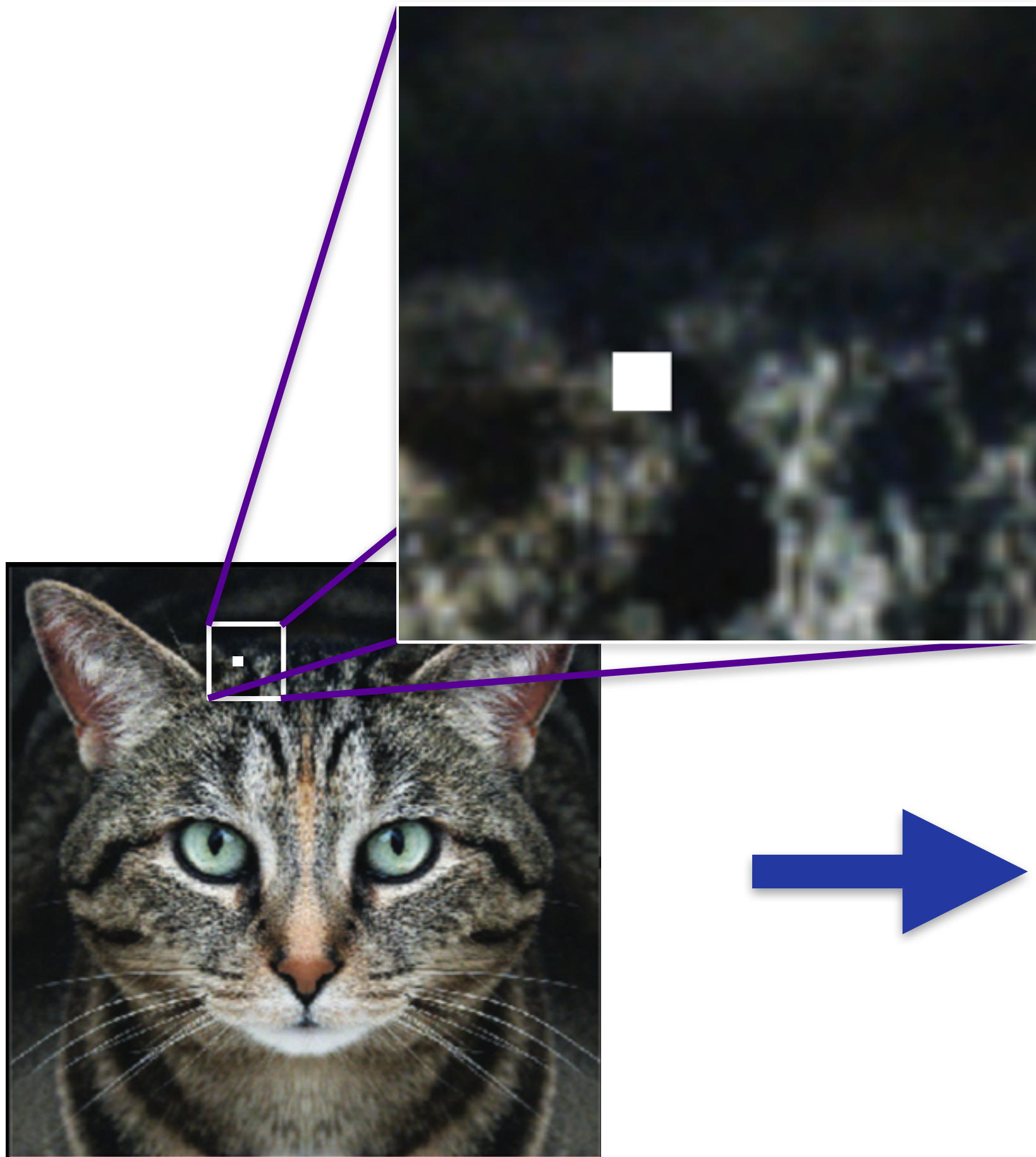
**[0.9,
0.1]**





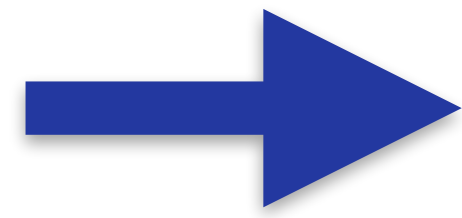
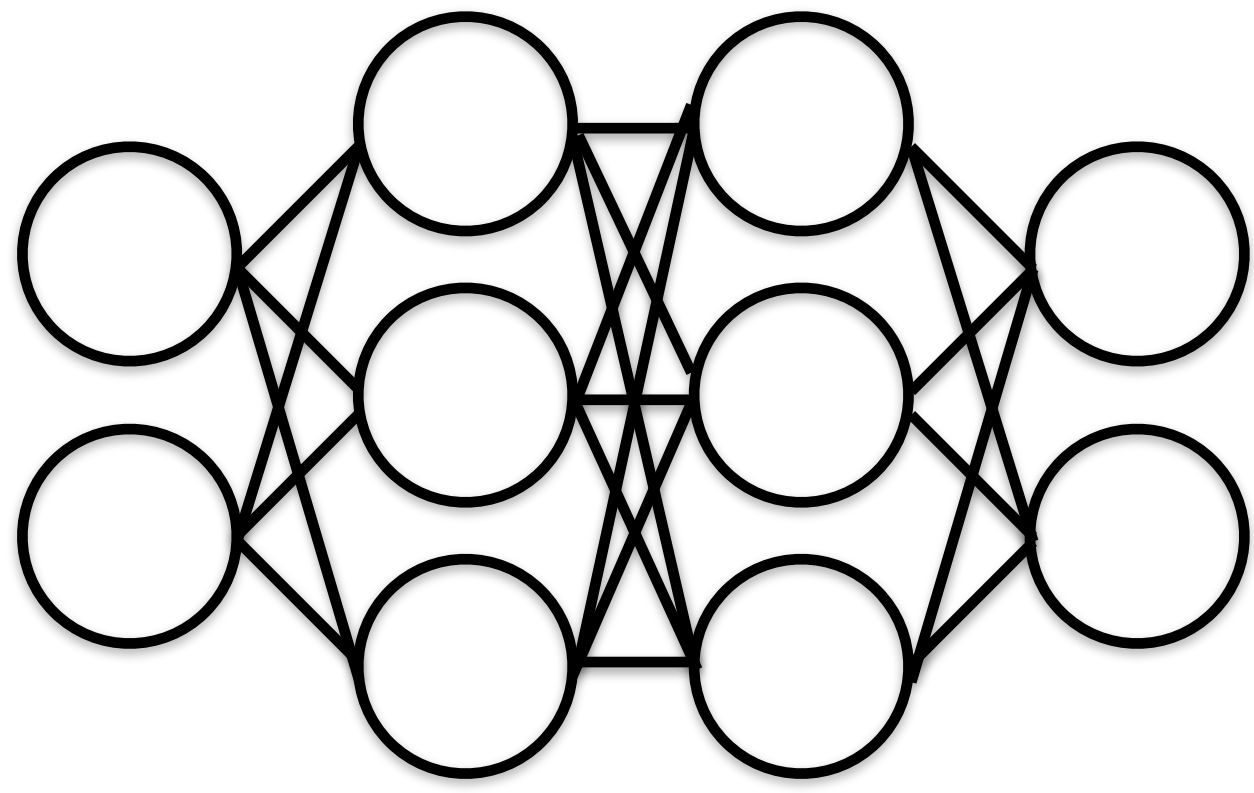
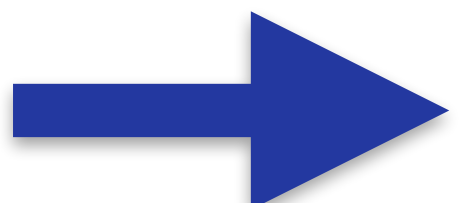
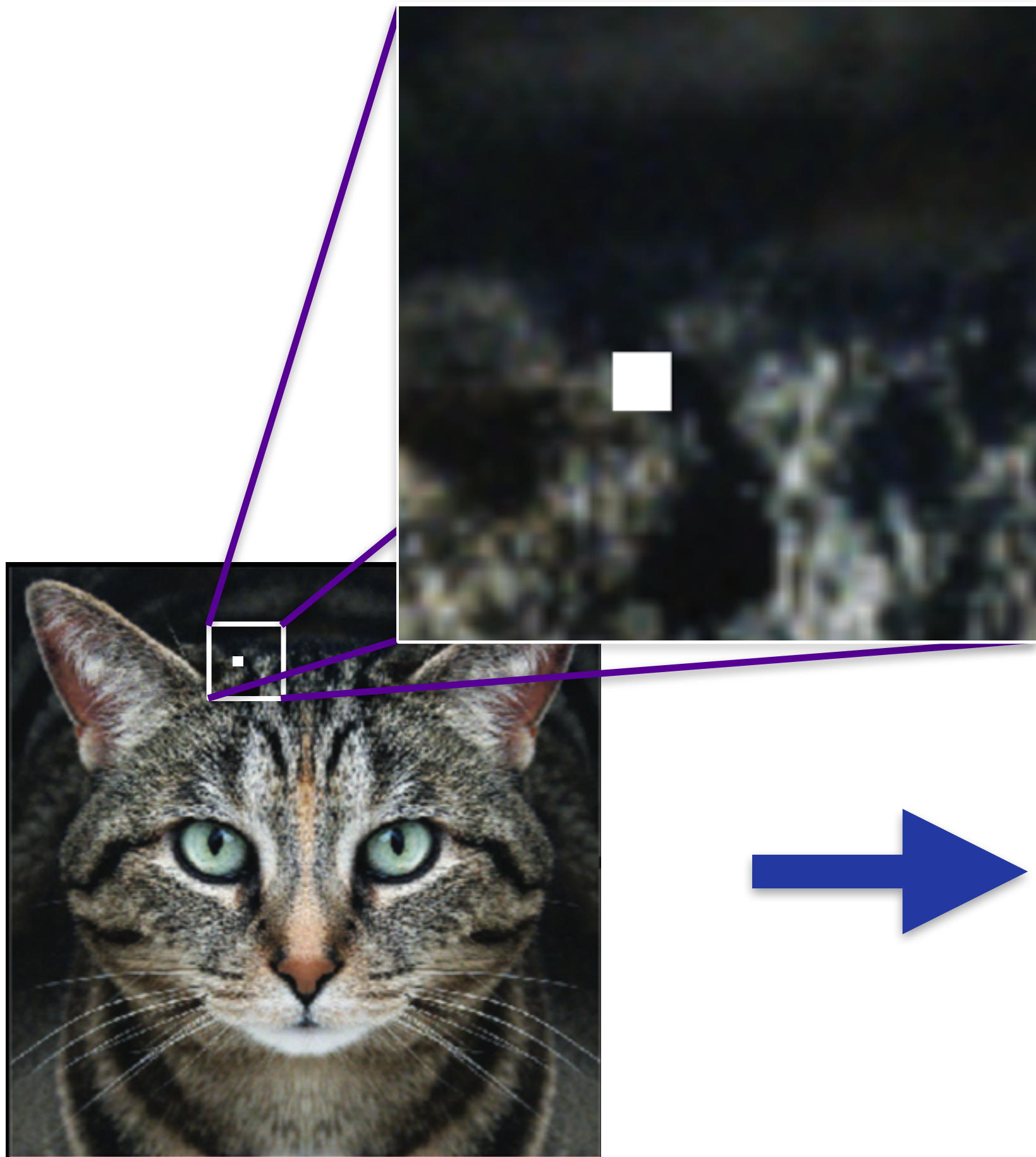
**[0.9,
0.1]**





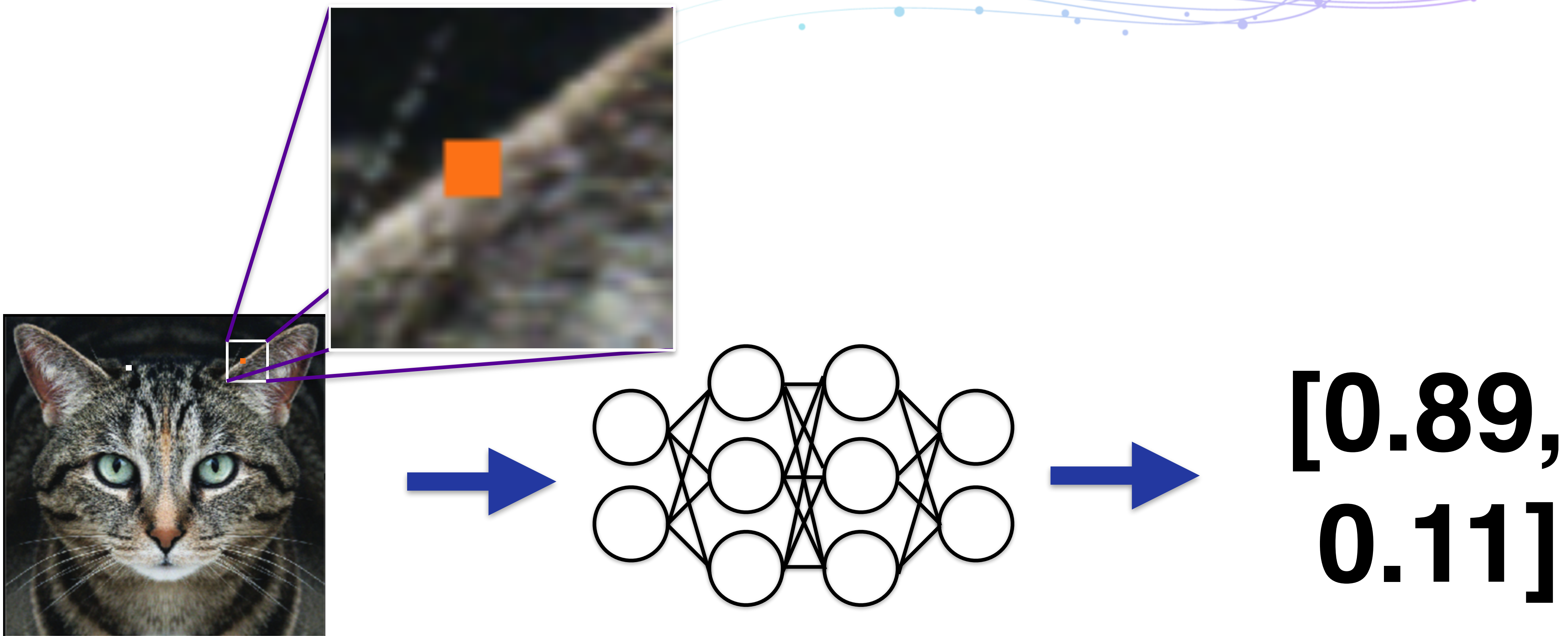
**[0.89,
0.11]**

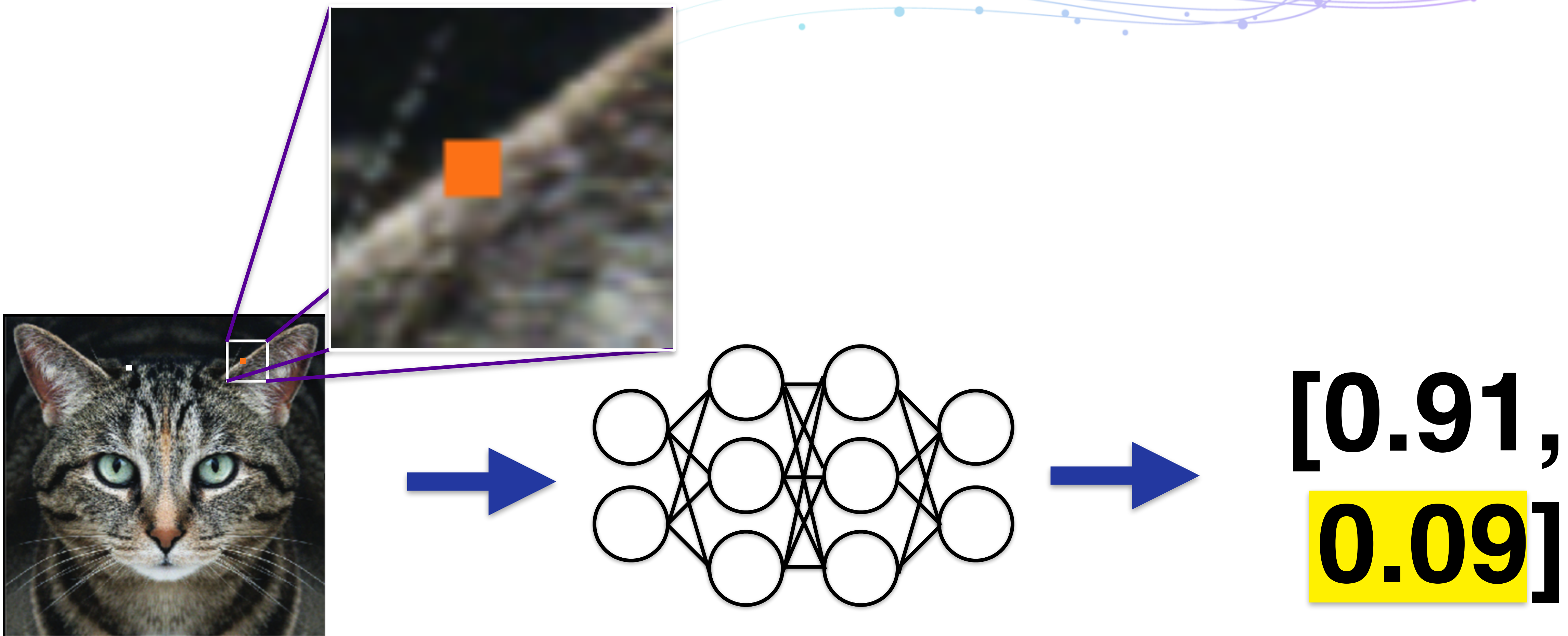


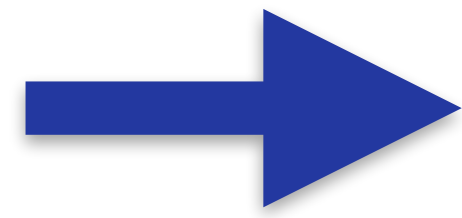
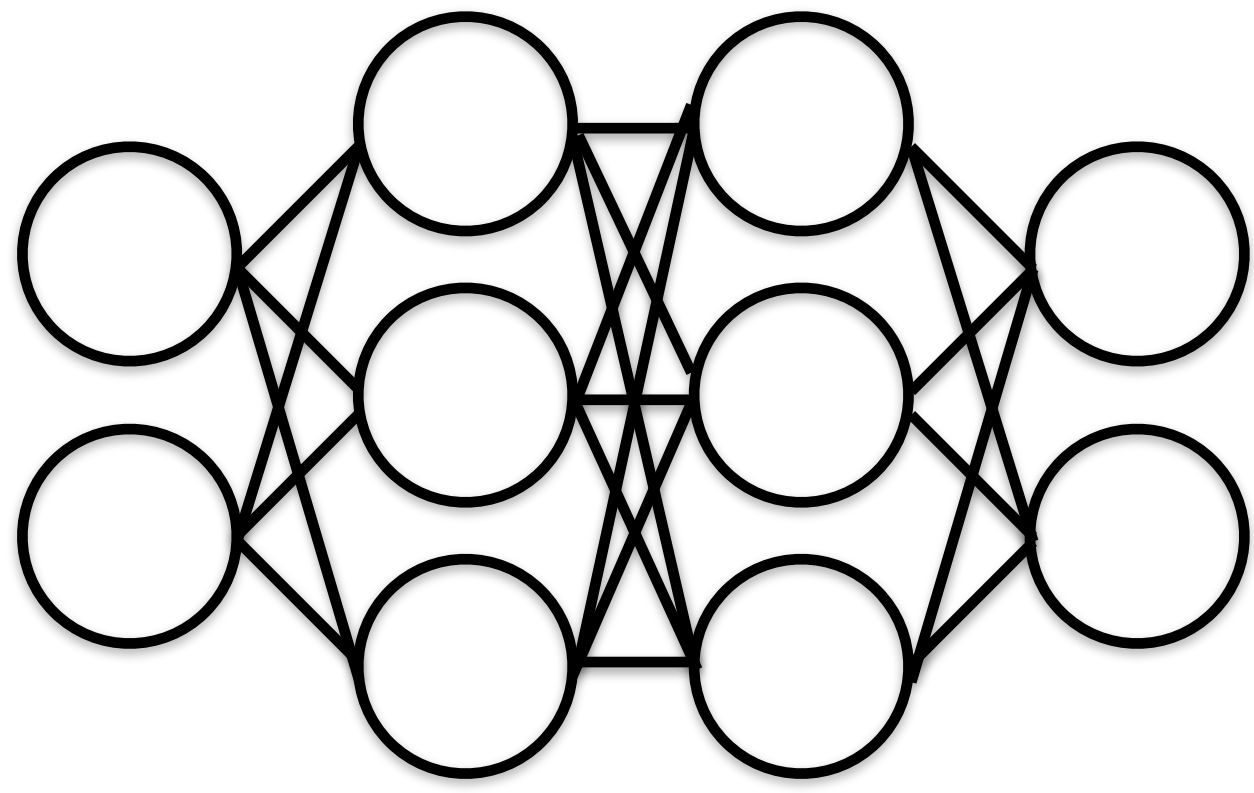
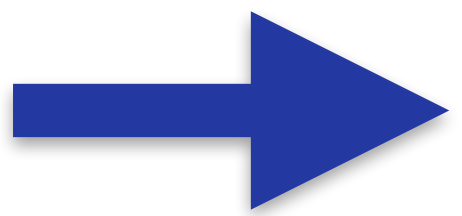
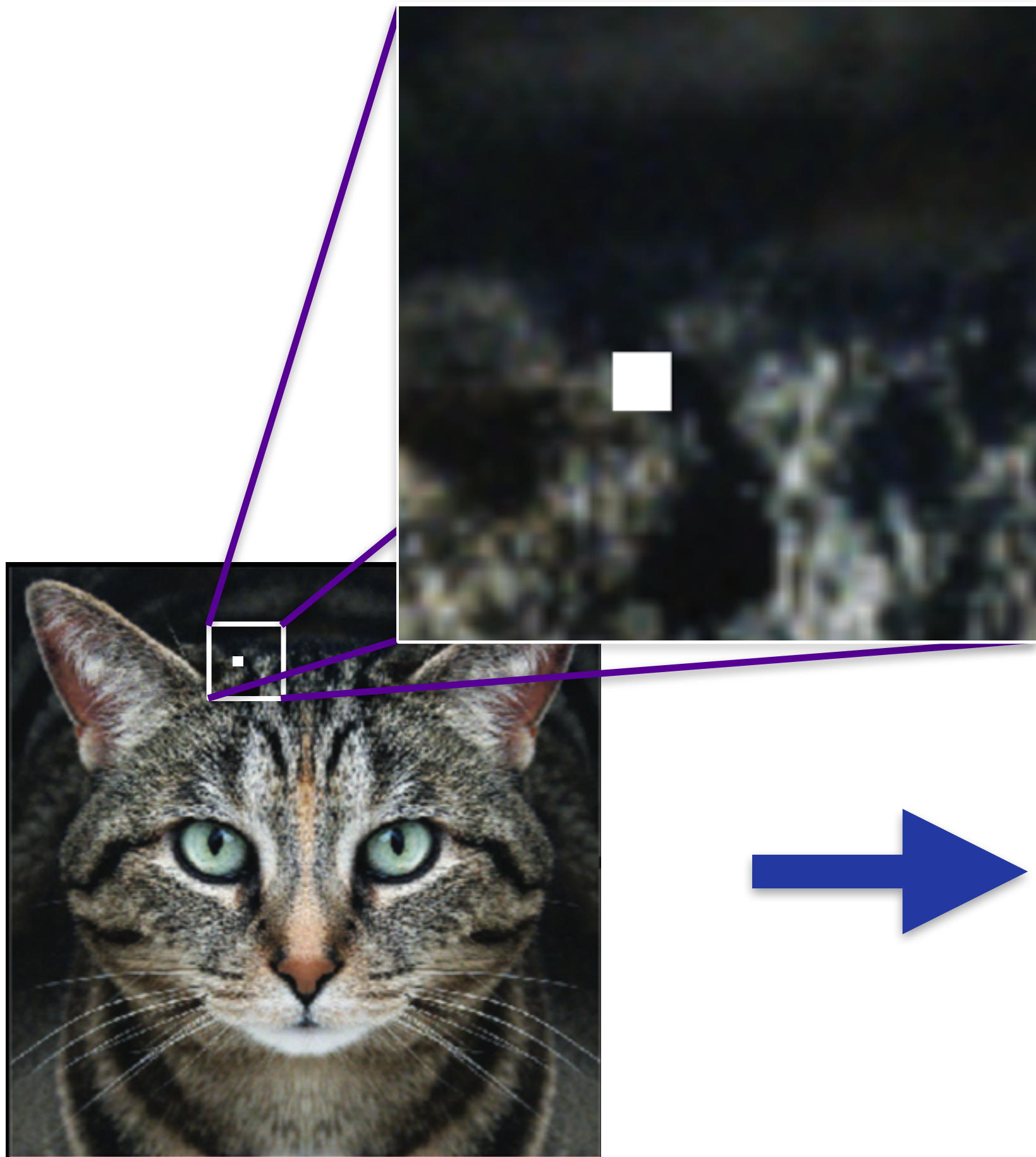


[0.89,
0.11]



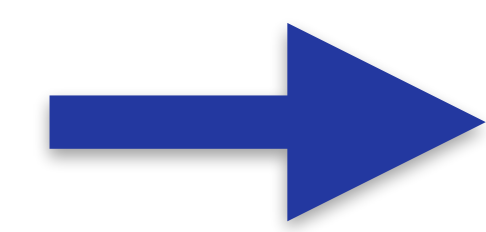
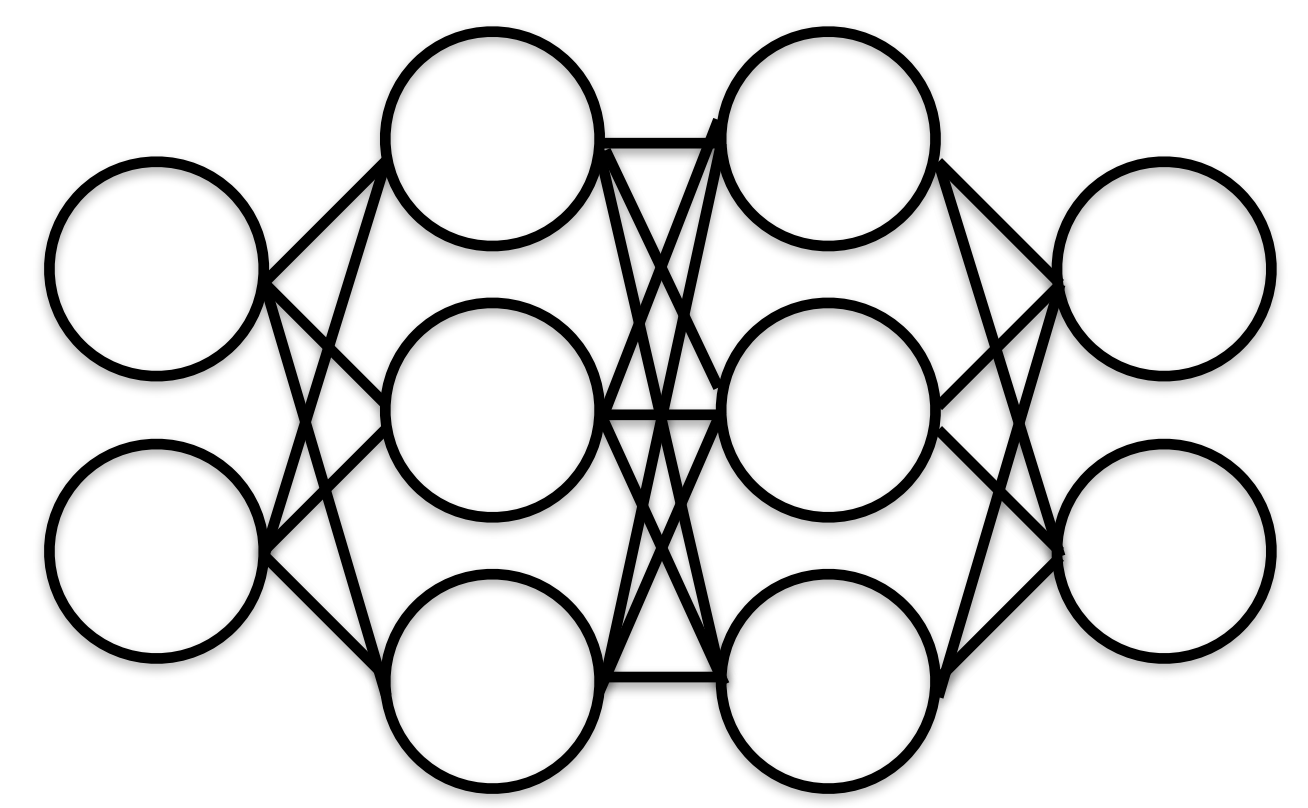
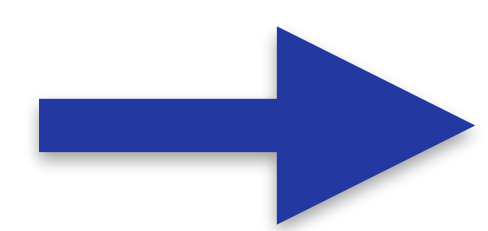






[0.89,
0.11]





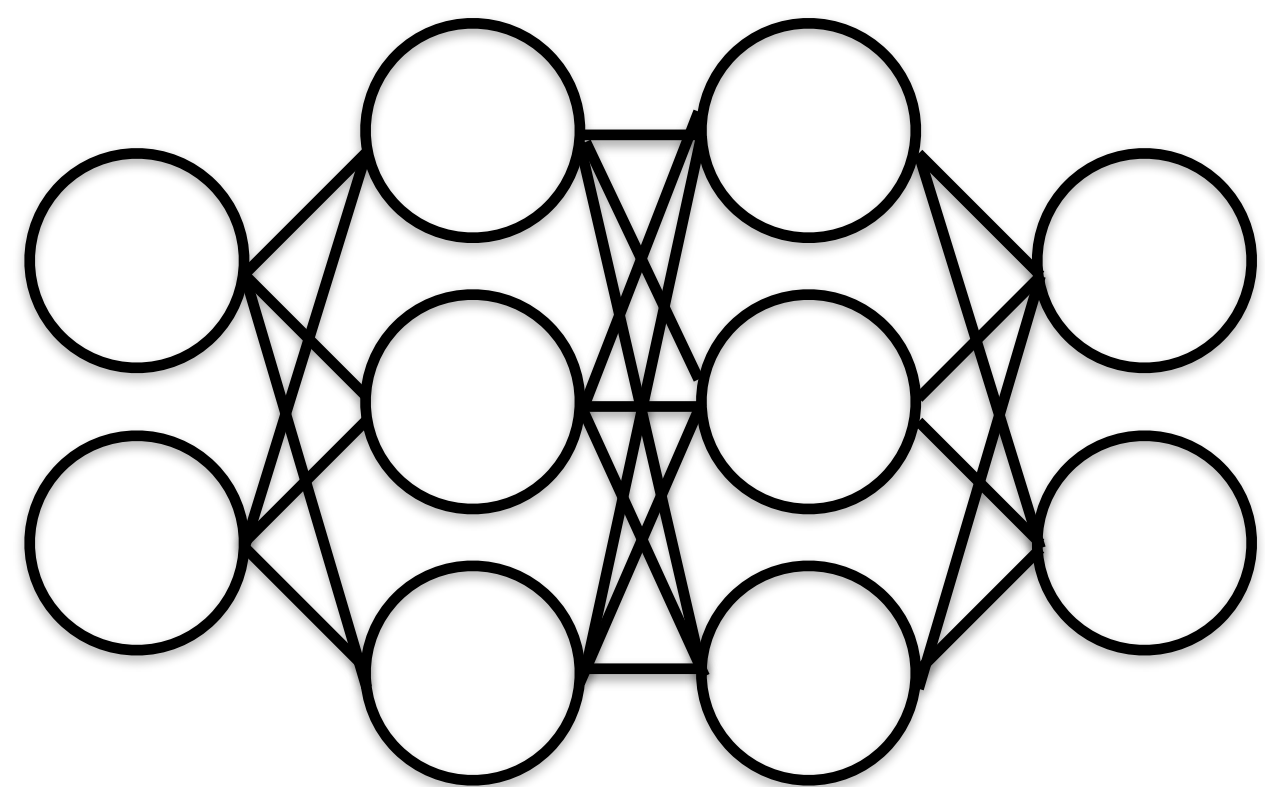
**[0.48,
0.52]**



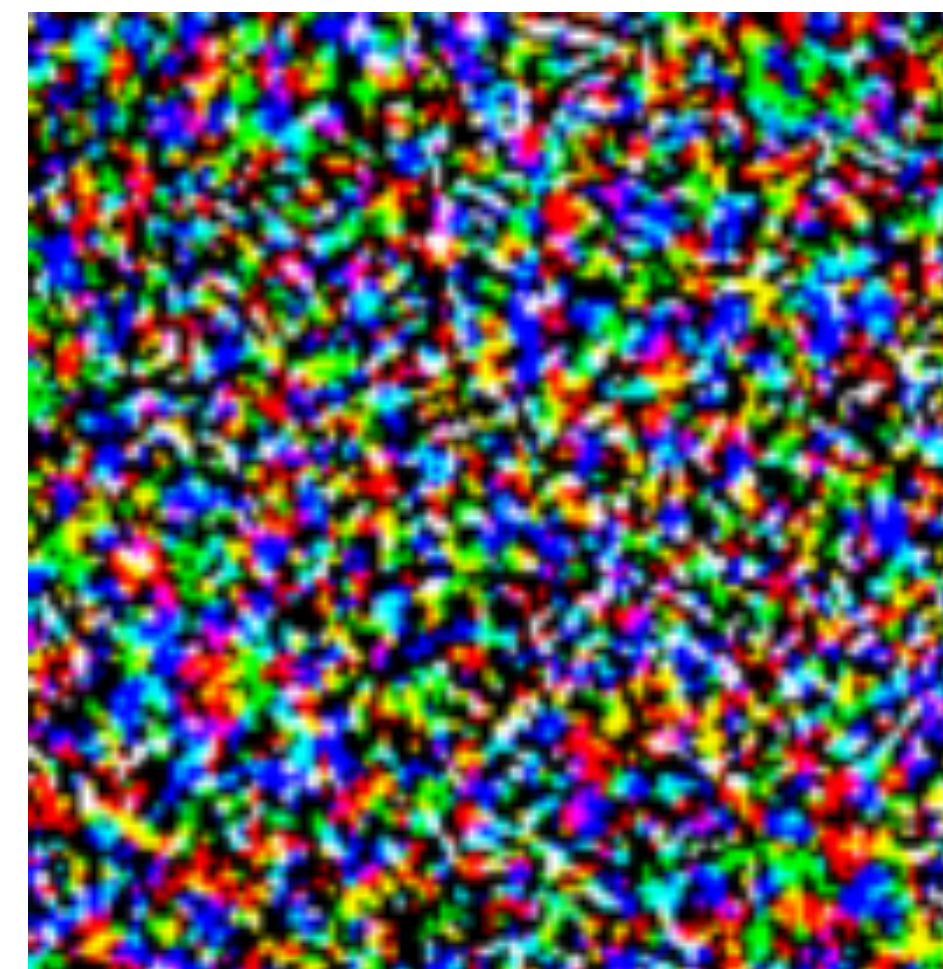
This *does* work ...

... but we have **calculus!**



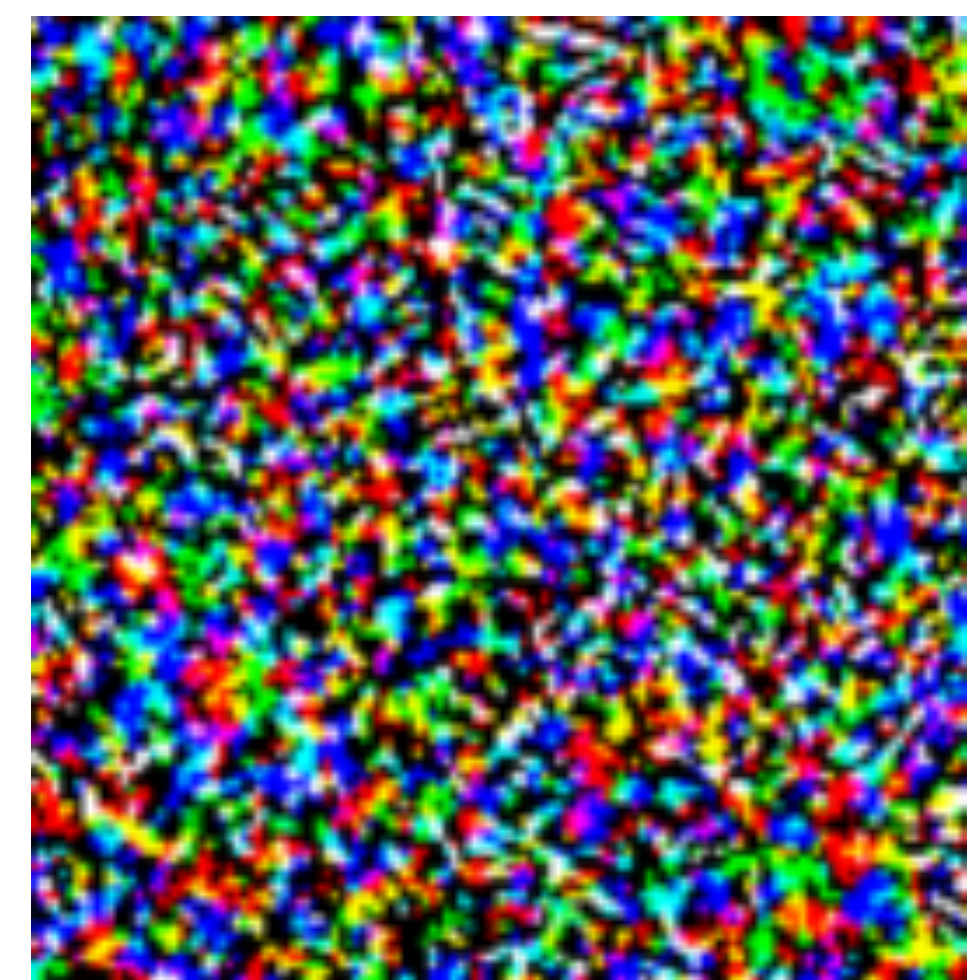


$$\square \frac{\partial}{\partial x} \blacktriangleright$$





+ .001x



=



CAT

adversarial
perturbation

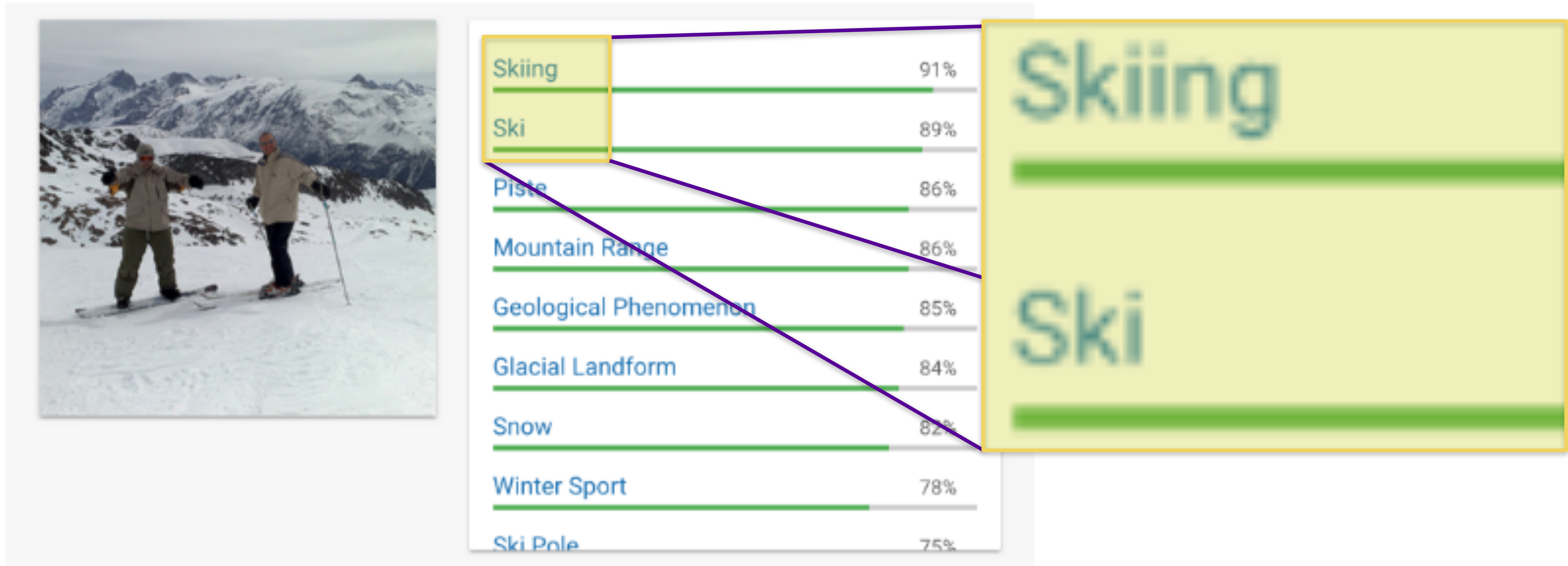
DOG



I. J. Goodfellow, J. Shlens and C. Szegedy. Explaining and harnessing adversarial examples. 2015

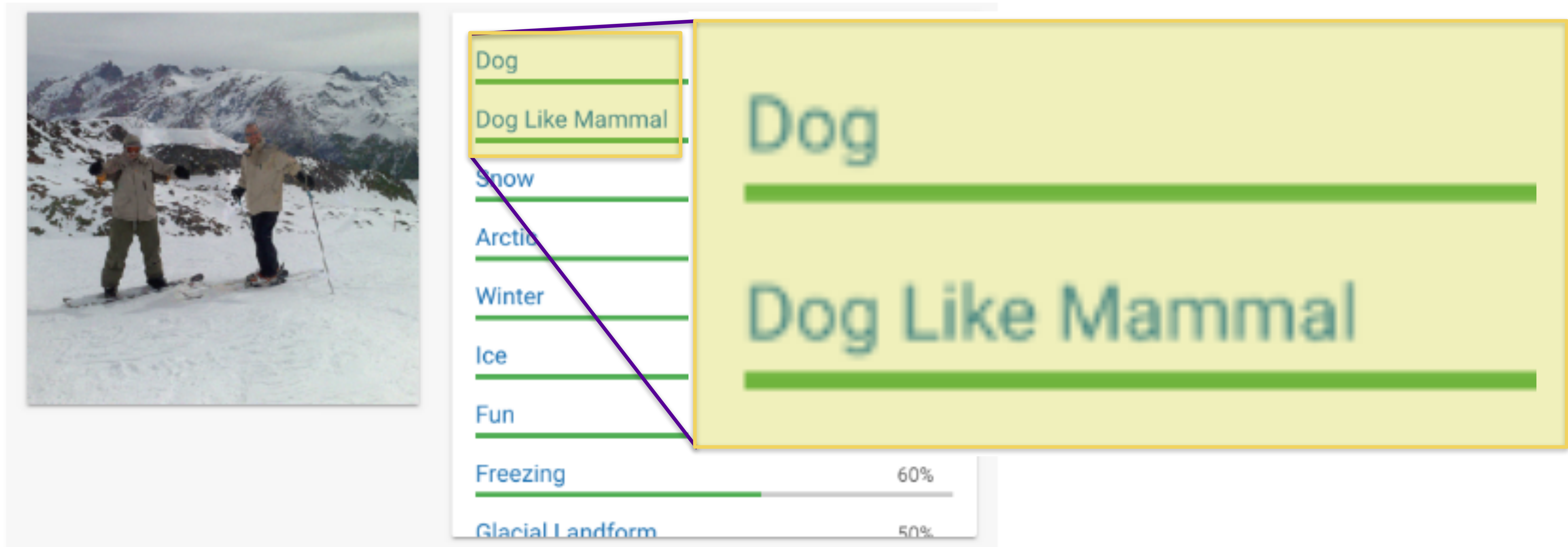
What if we don't have **direct access** to the model?





A Ilyas, L Engstrom, A Athalye, J Lin. Black-box Adversarial Attacks with Limited Queries and Information. 2018





A Ilyas, L Engstrom, A Athalye, J Lin. Black-box Adversarial Attacks with Limited Queries and Information. 2018



Generating
adversarial examples
is **simple** and **practical**



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Defending against Adversarial Examples

An abstract graphic in the bottom right corner of the slide. It consists of numerous overlapping, thin, light blue lines that form a complex, web-like pattern. The lines are mostly curved and radiate from the bottom right towards the top left, creating a sense of movement and connectivity. Small blue dots are scattered along these lines, further enhancing the network-like appearance.

Case Study: ICLR 2018 Defenses

A Athalye, N Carlini, D Wagner. Obfuscated Gradients Give a False Sense of Security: Circumventing Defenses to Adversarial Examples. 2018



MITIGATING ADVERSARIAL EFFECTS THROUGH RANDOMIZATION

Published as a conference paper at ICLR 2018

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Department of Computer Science
The Johns Hopkins University
Baltimore, MD 21218 USA
{cihangxie306, zhshuai.

Jianyu Wang
Baidu Research USA
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Venice, CA 90291 USA
zhou.ren@snapchat.com

Convolutional neural networks have become the dominant paradigm in recent years. However, adversarial examples have shown that these networks are vulnerable to chosen perturbations to real images, while imperceptible to humans. For example, imperceptible perturbations can cause convolutional neural networks to misclassify images. To defend against these attacks at inference time to mitigate the impact of adversarial examples, randomization operations: randomizing the order of neurons, randomizing the size, and random padding in a domain manner. Extensive experiments show that this randomization method is very effective against adversarial attacks. Our method provides 1) no need for fine-tuning, 2) very few additional computations, 3) compatible with other adversarial defense methods. By combining the proposed randomization method with an adversarially trained model, it achieves a normalized score of 0.924 (ranked No.2 among 107 defense teams) in the NIPS 2017 adversarial examples defense challenge, which is far better than using adversarial training alone with a normalized score of 0.773 (ranked No.56). The code is public available at https://github.com/cihangxie/NIPS2017_adv_challenge_defense.

STOCHASTIC ACTIVATION PRUNING FOR ROBUST ADVERSARIAL DEFENSE

**Guneet S. Dhillon^{1,2}, Kamyar Azizzadenesheli³, Zachary C. Lipton^{1,4},
Jeremy Bernstein^{1,5}, Jean Kossaifi^{1,6}, Aran Khanna¹, Anima Anandkumar^{1,5}**
¹Amazon AI, ²UT Austin, ³UC Irvine, ⁴CMU, ⁵Caltech, ⁶Imperial College London
guneetdhillon@utexas.edu, kazizzad@uci.edu, zlipton@cmu.edu,
bernstein@caltech.edu, jean.kossaifi@imperial.ac.uk,
aran@arankhanna.com, anima@amazon.com

ABSTRACT

Neural networks are known to be vulnerable to adversarial examples: chosen perturbations to real images, while imperceptible to humans, can cause misclassification and threaten the reliability of deep learning systems. To guard against adversarial examples, we take inspiration from game theory and view the problem as a minimax zero-sum game between the adversary and the defender. In general, for such games, the optimal strategy for both players is a mixed policy, also known as a *mixed strategy*. In this light, we propose *Activation Pruning* (SAP), a mixed strategy for adversarial defense: selecting a random subset of activations (preferentially pruning those with low magnitude) and scales up the survivors to compensate. We can apply SAP to any neural networks, including adversarially trained models, without fine-tuning. Our method provides robustness against adversarial examples. Experiments demonstrate that SAP improves robustness against attacks, increasing accuracy and preserving calibration.

Published as a conference paper at ICLR 2018

THERMOMETER ENCODING: ONE HOT WAY TO RESIST ADVERSARIAL EXAMPLES

Jacob Buckman*[†], Aurko Roy*, Colin Raffel, Ian Goodfellow
Google Brain
Mountain View, CA
{buckman, aurkor, craffel, goodfellow}@google.com

ABSTRACT

Neural networks are known to be vulnerable to adversarial examples: chosen perturbations to real images, while imperceptible to humans, can cause misclassification and threaten the reliability of deep learning systems. To guard against adversarial examples, we take inspiration from game theory and view the problem as a minimax zero-sum game between the adversary and the defender. In general, for such games, the optimal strategy for both players is a mixed policy, also known as a *mixed strategy*. In this light, we propose *Activation Pruning* (SAP), a mixed strategy for adversarial defense: selecting a random subset of activations (preferentially pruning those with low magnitude) and scales up the survivors to compensate. We can apply SAP to any neural networks, including adversarially trained models, without fine-tuning. Our method provides robustness against adversarial examples. Experiments demonstrate that SAP improves robustness against attacks, increasing accuracy and preserving calibration.

Published as a conference paper at ICLR 2018

COUNTERING ADVERSARIAL IMAGES USING INPUT TRANSFORMATIONS

Chuan Guo* **Mayank Rana & Moustapha Cissé & Laurens van der Maaten**
Cornell University Facebook AI Research

ABSTRACT

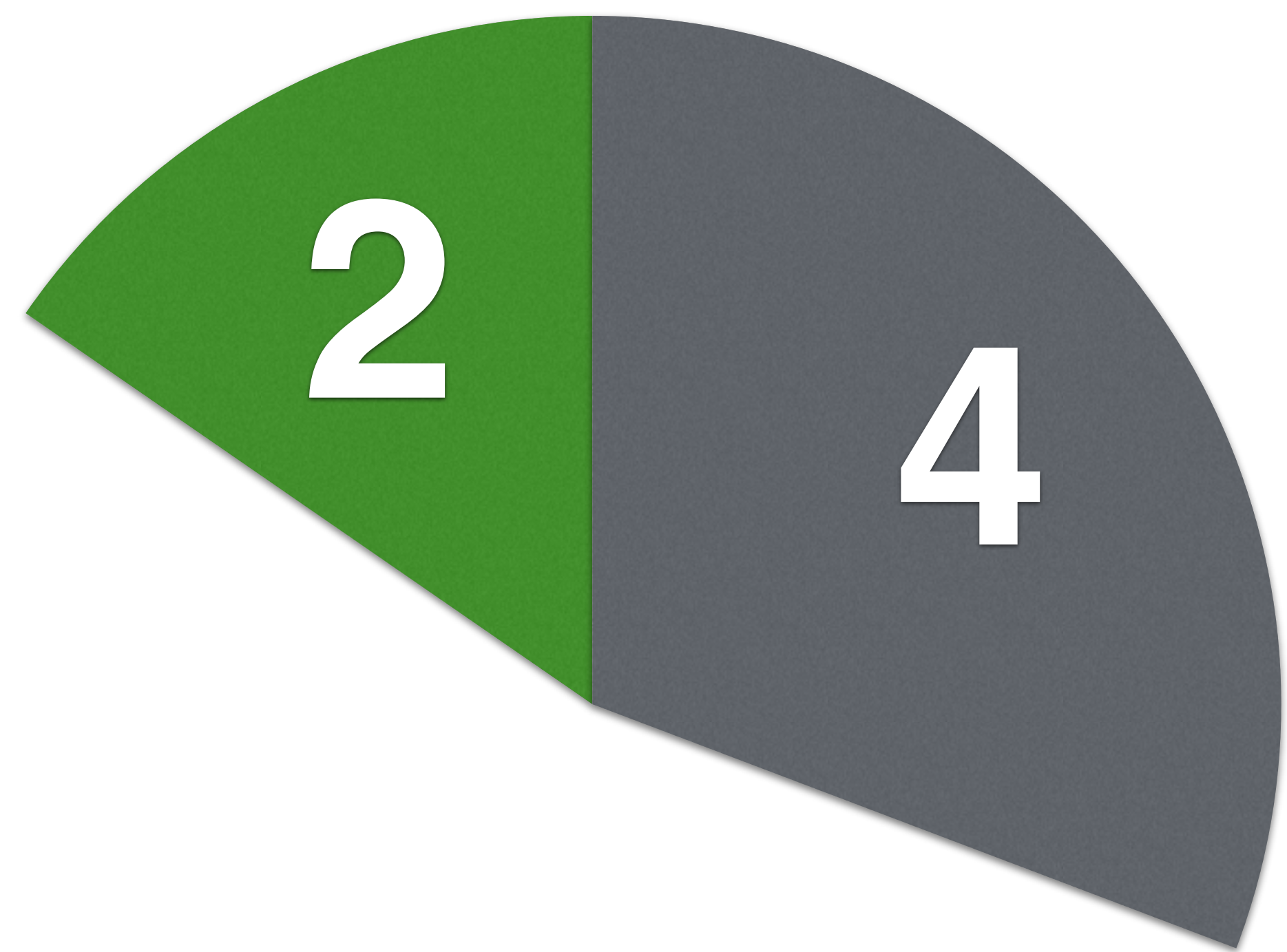
This paper investigates strategies that defend against adversarial-example attacks on image-classification systems by transforming the inputs before feeding them to the system. Specifically, we study applying image transformations such as bit-depth reduction, JPEG compression, total variance minimization, and image quilting before feeding the image to a convolutional network classifier. Our experiments on ImageNet show that total variance minimization and image quilting are very effective defenses in practice, in particular, when the network is trained on transformed images. The strength of those defenses lies in their non-differentiable nature and their inherent randomness, which makes it difficult for an adversary to circumvent the defenses. *Our best defense eliminates 60% of strong gray-box and 90% of strong black-box attacks by a variety of major attack methods.*





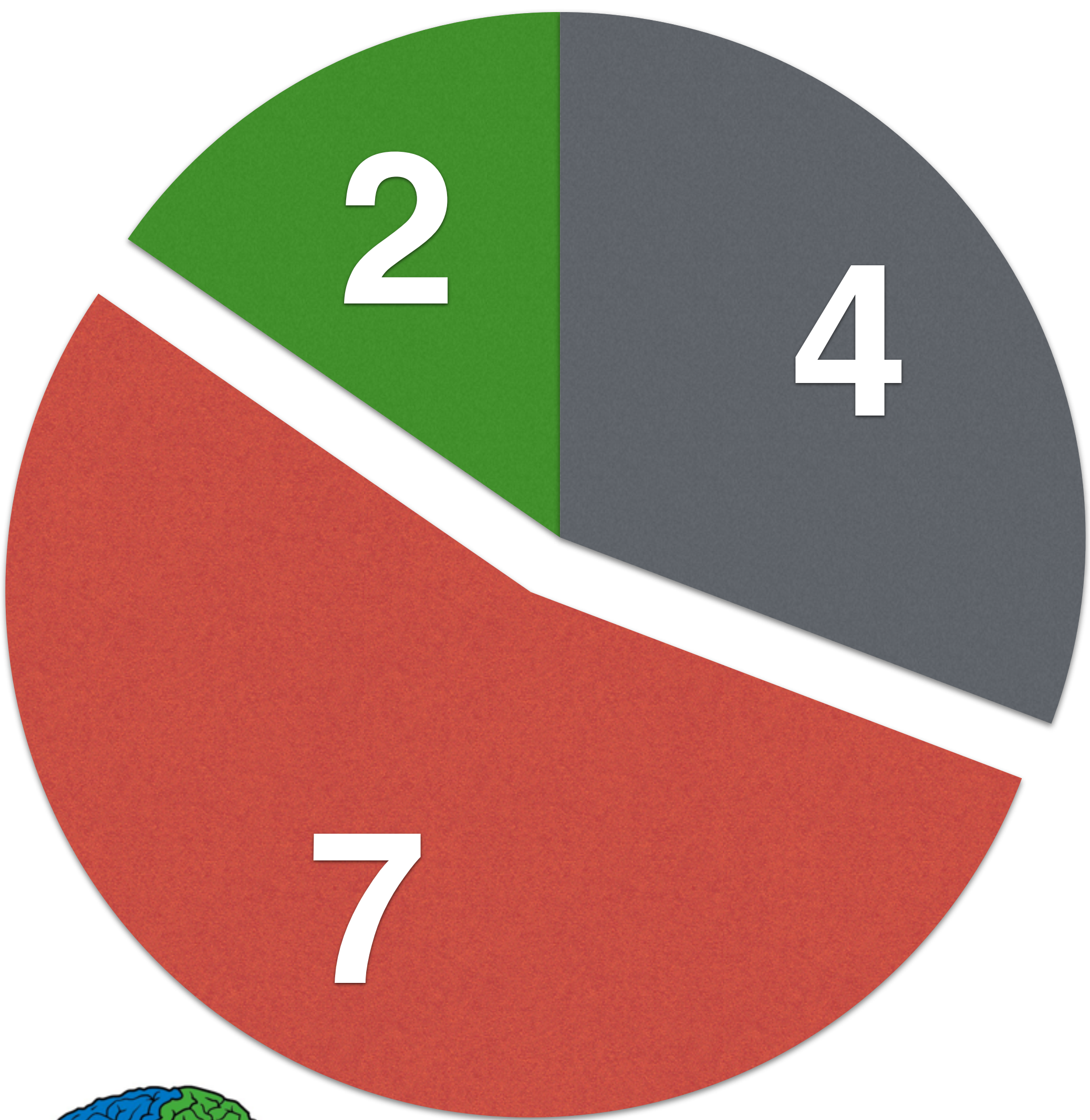
● **Out of scope**





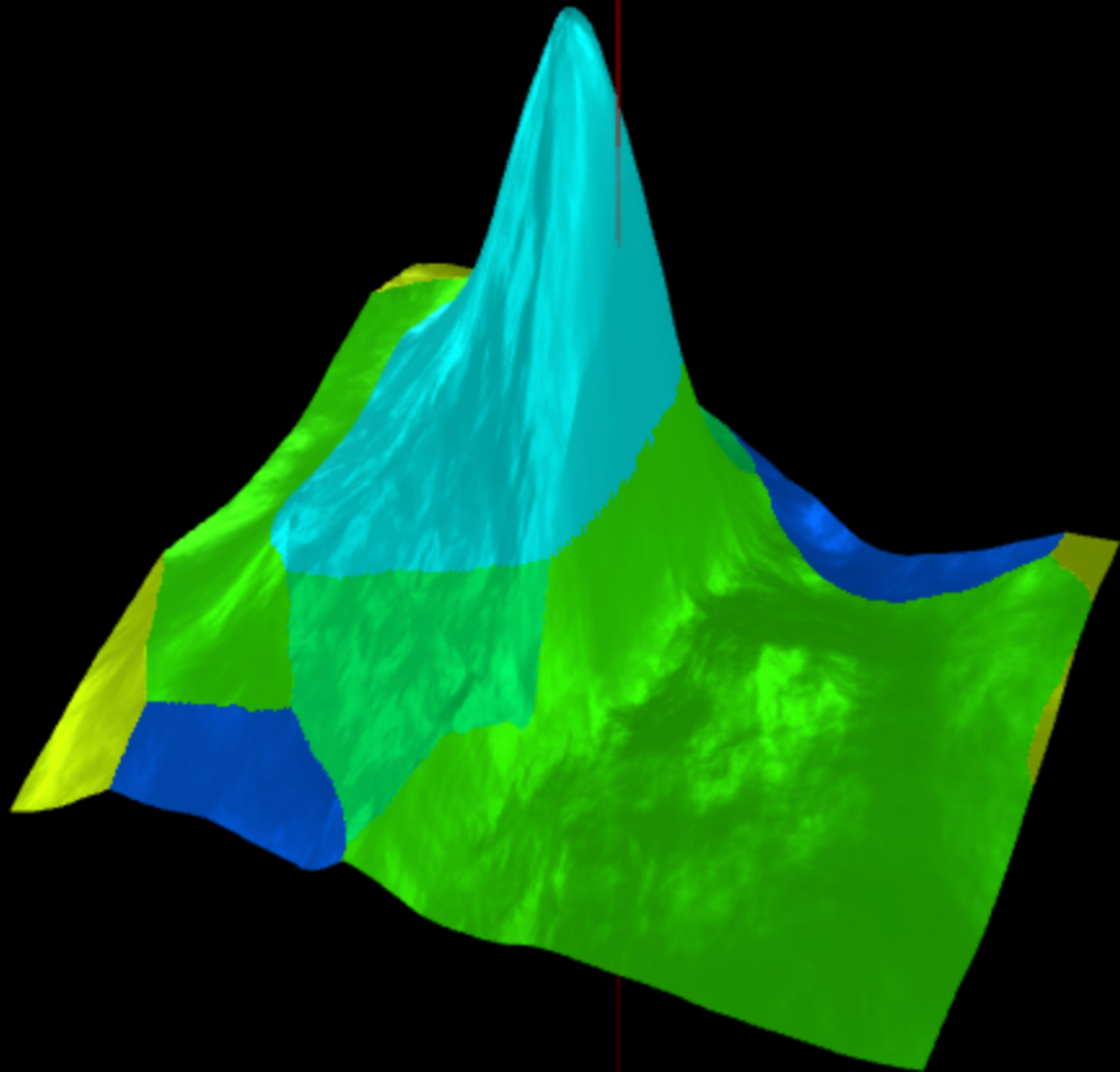
- **Out of scope**
- **Correct Defenses**

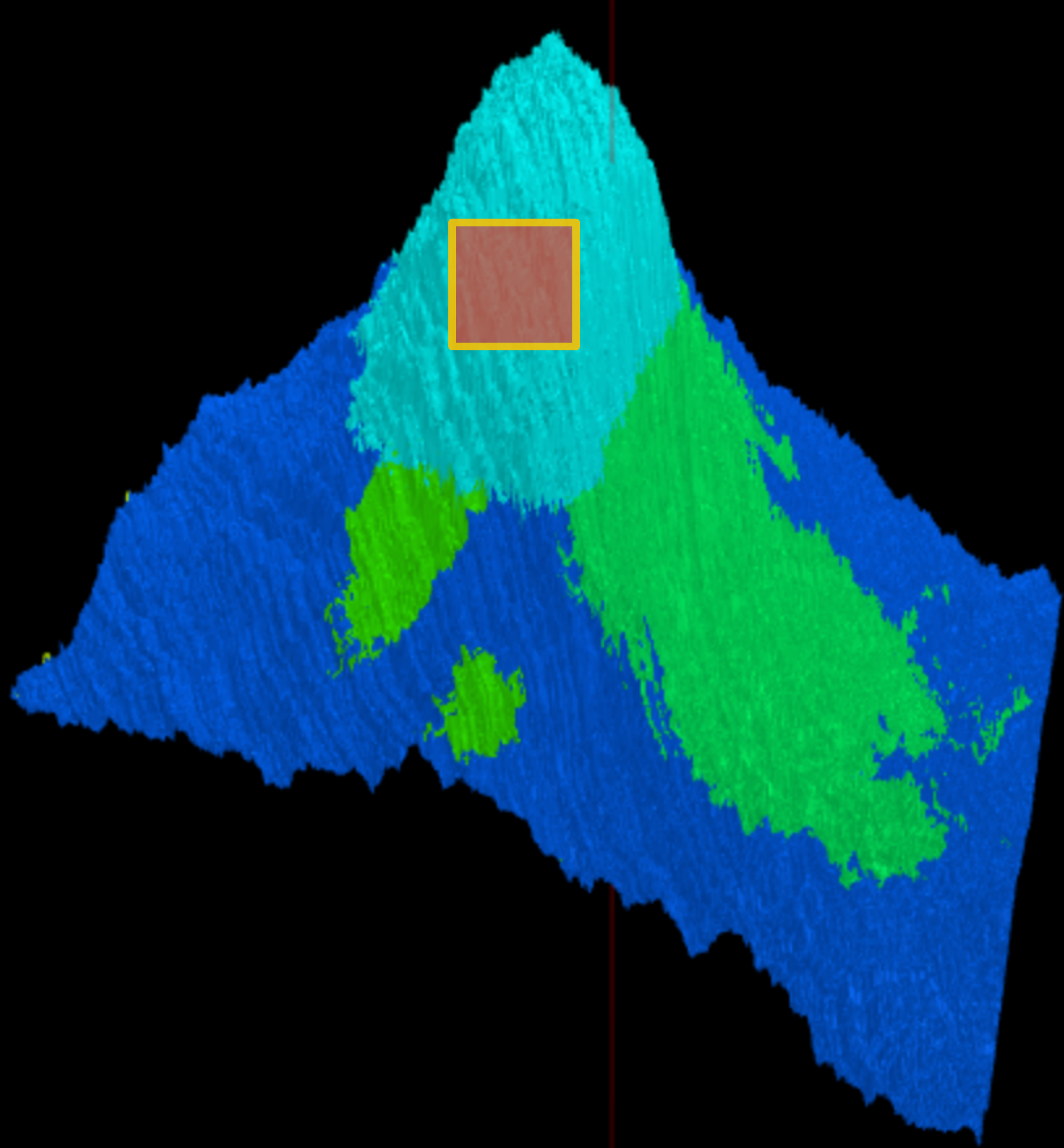


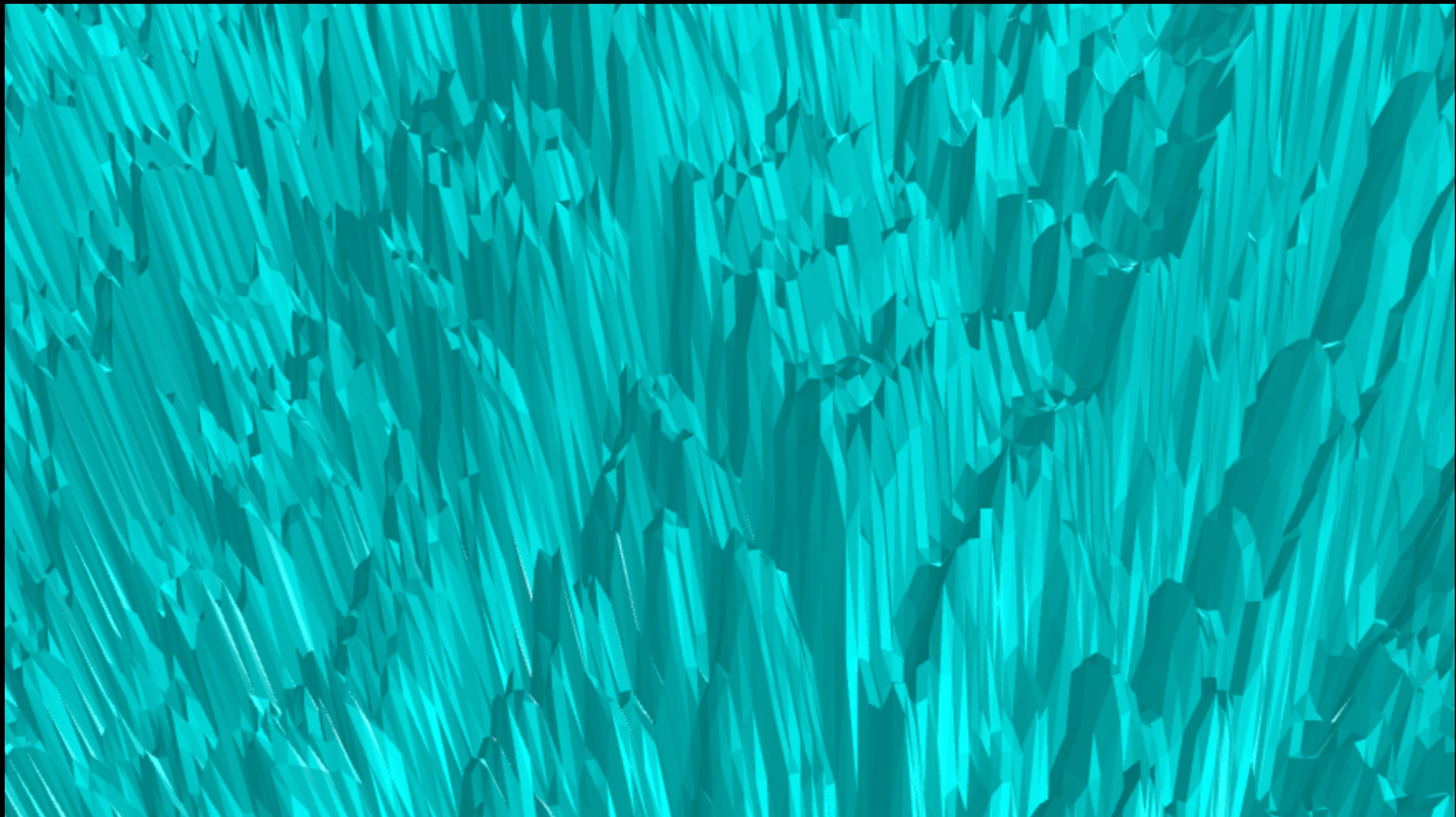


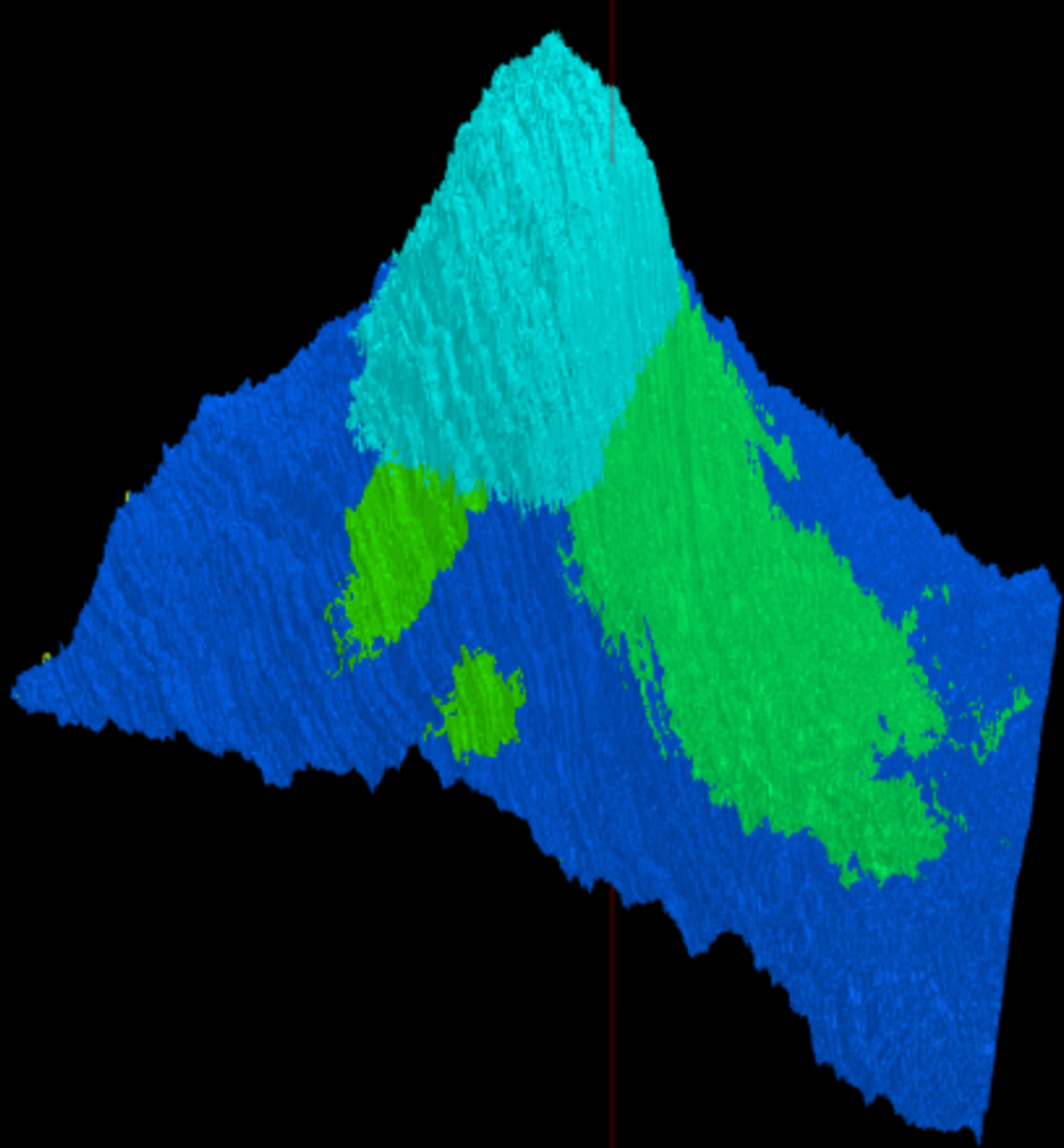
- **Out of scope**
- **Broken Defenses**
- **Correct Defenses**











The Last Hope: *Adversarial Training*

A Madry, A Makelov, L Schmidt, D Tsipras, A Vladu. Towards Deep Learning Models Resistant to Adversarial Attacks. 2018



Caveats

- Requires **small images** (32x32)
- Only effective for **tiny perturbations**
- Training is **10-50x slower**
- And even still, only works **half of the time**



Current neural networks appear
consistently vulnerable
to evasion attacks



First reason to not use
machine learning:

Lack of robustness



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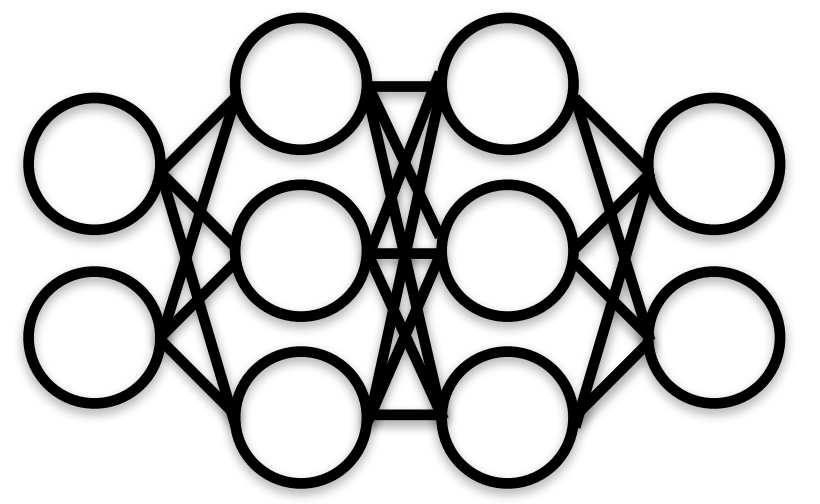
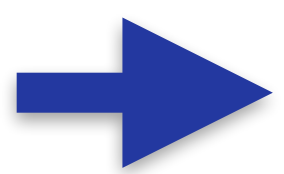
Act II:
On the Security and Privacy
of Neural Networks

What are the
privacy problems?

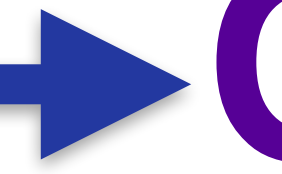
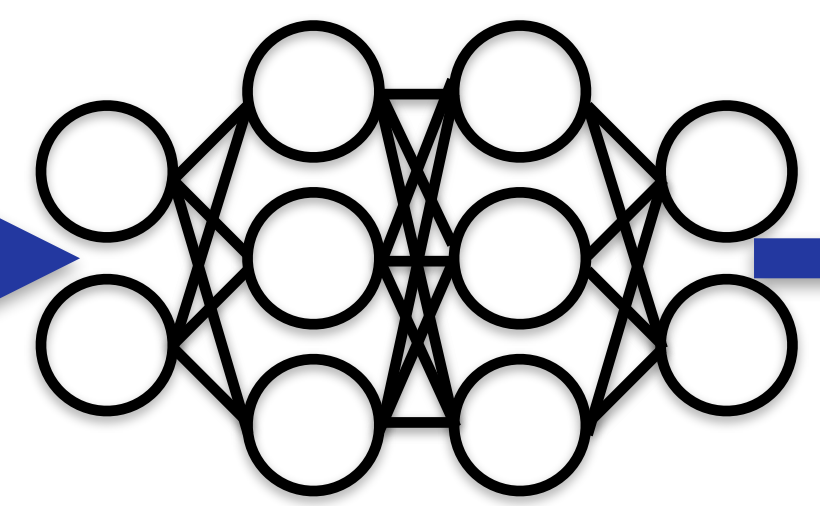
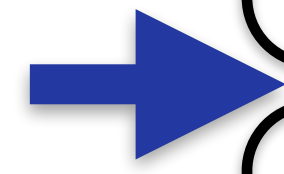
Privacy of what?
Training Data



1. Train



2. Predict



Obama



1. Train



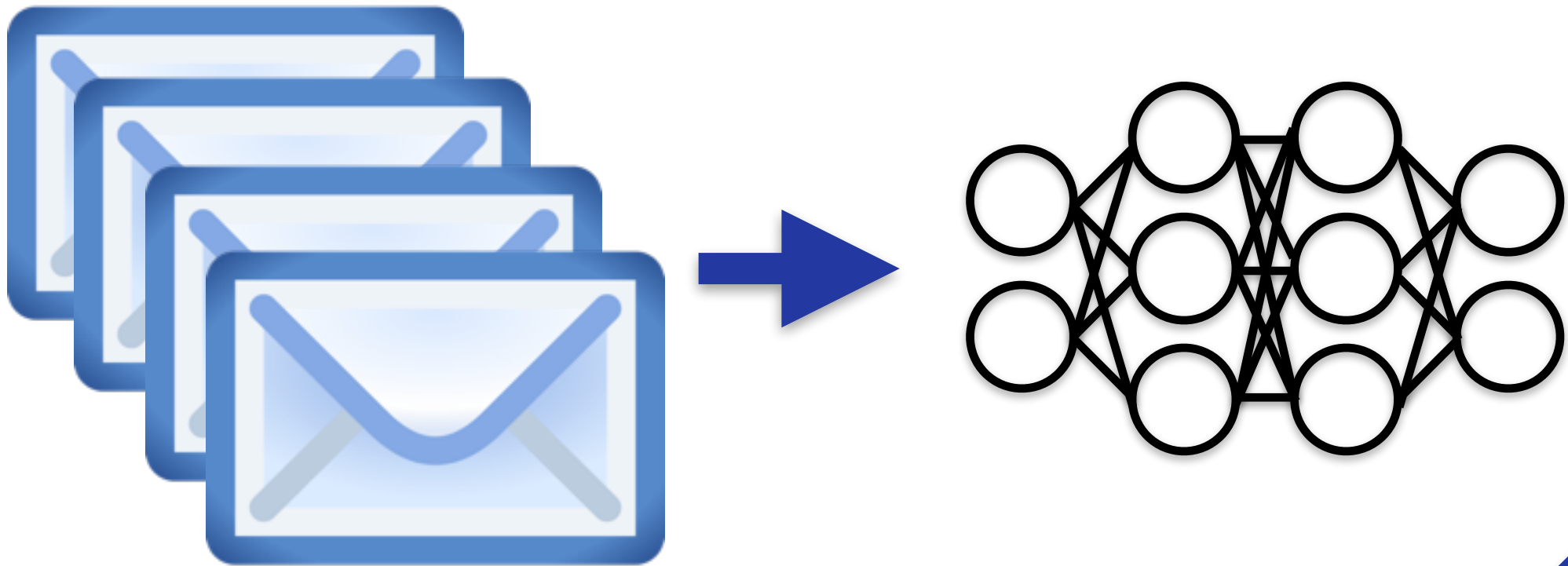
Extract

Person 7

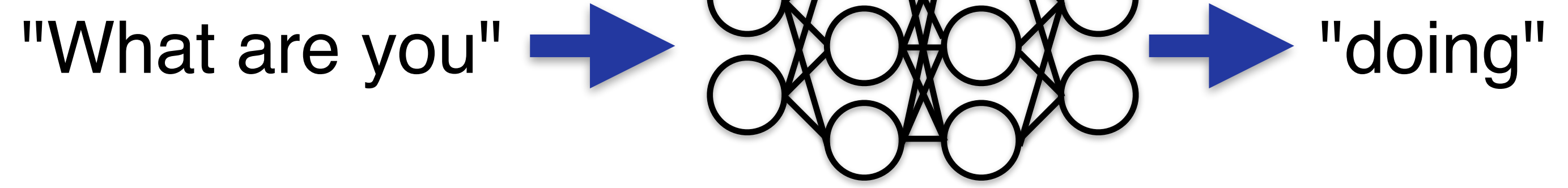


M. Fredrikson, S. Jha, T. Ristenpart. Model Inversion Attacks that Exploit Confidence Information and Basic Countermeasures. 2015.

1. Train

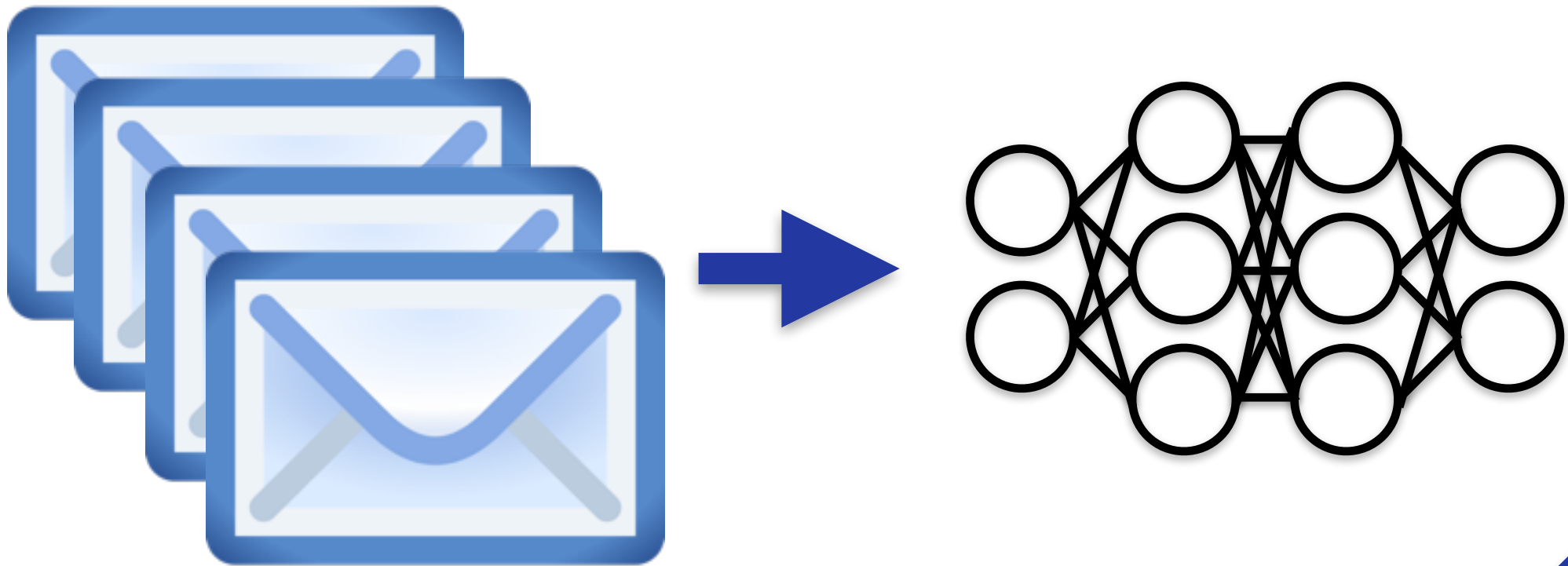


2. Predict



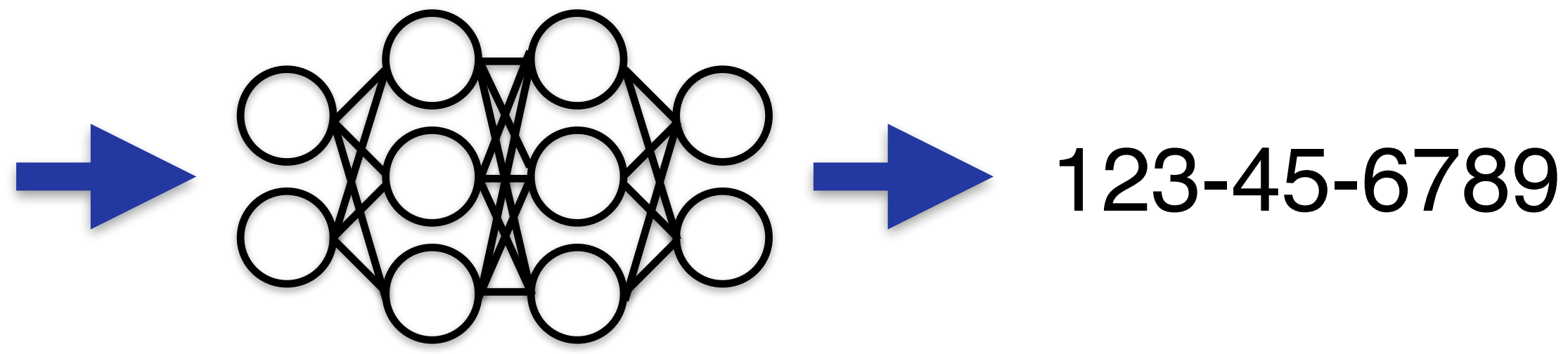
N Carlini, C Liu, J Kos, Ú Erlingsson, D Song. The Secret Sharer: Evaluating and Testing Unintended Memorization in Neural Networks 2018

1. Train



2. Extract

Nicholas's
SSN is



N Carlini, C Liu, J Kos, Ú Erlingsson, D Song. The Secret Sharer: Evaluating and Testing Unintended Memorization in Neural Networks 2018

Somali ▾ ↔ English ▾

ag ag ag ag ag ag ag
ag ag ag Edit

Feedback

[Open in Google Translate](#)



Somali ▾ ↔ English ▾ 📄 🔊

ag ag ag ag ag ag ag
ag ag ag Edit

And its length was
one hundred cubits
at one end

[Open in Google Translate](#)

[Feedback](#)





1 Kings 7:2 World English Bible (WEB)

2 For he built the house of the forest of Lebanon. Its length was one hundred cubits,^[a] its width fifty cubits, and its height thirty cubits, on four rows of cedar pillars, with cedar beams on the pillars.





"its length was one hundred cubits"  

- All
- Images
- News
- Shopping
- Videos
- More
- Settings
- Tools

About 2,850 results (0.17 seconds)

1 Kings 7:2 He built the House of the Forest of Lebanon a hundred ...

https://biblehub.com/1_kings/7-2.htm ▼

For he built the house of the forest of Lebanon; **its length was one hundred cubits**, and its breadth fifty cubits, and its height thirty cubits, on four rows of cedar ...

1 Kings 7:2 NLT: One of Solomon's buildings was called the Palace of ...

https://biblehub.com/nlt/1_kings/7-2.htm ▼

For he built the house of the forest of Lebanon; **its length was one hundred cubits**, and its breadth fifty cubits, and its height thirty cubits, on four rows of cedar ...

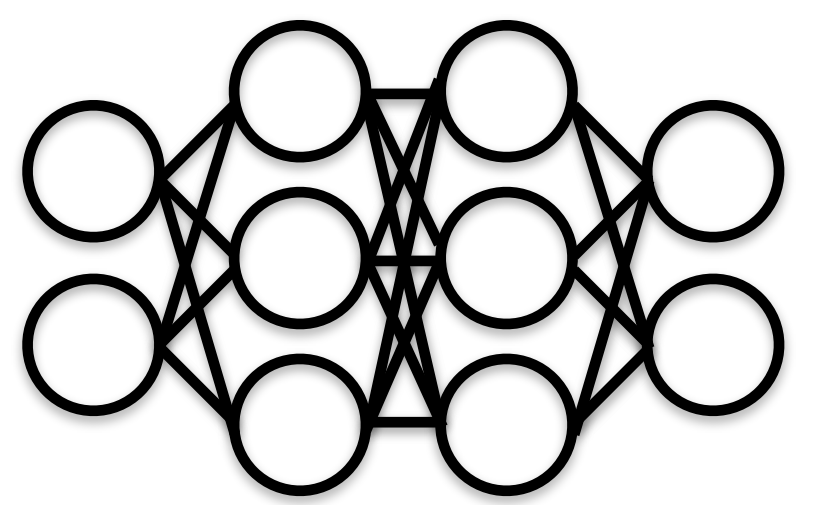
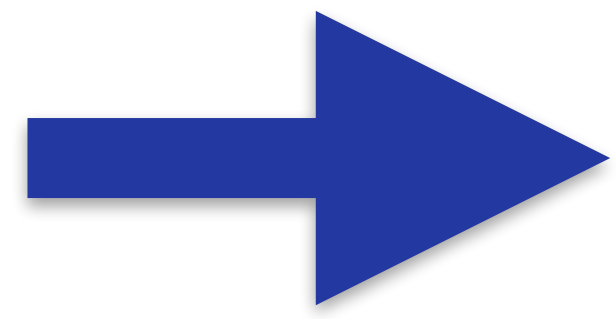


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Extracting Training Data From Neural Networks

An abstract graphic in the bottom right corner of the slide. It consists of numerous overlapping, thin, light blue lines that form a complex, web-like pattern. These lines are interspersed with small, light blue dots, creating a sense of movement and connectivity, reminiscent of a neural network or data flow visualization.

1. Train



2. Predict

$$P(\text{Envelope Icon}; \text{Neural Network Diagram}) = \mathbf{y}$$



What is ...

$$P(\text{My SSN is } 000-00-0000 \text{ ; } \text{[SSN Network Diagram]}) = 0.01$$



What is ...

$$P(\text{My SSN is } 000-00-0001 \text{ ; } \text{[Diagram of a 3-layer neural network]}) = 0.02$$



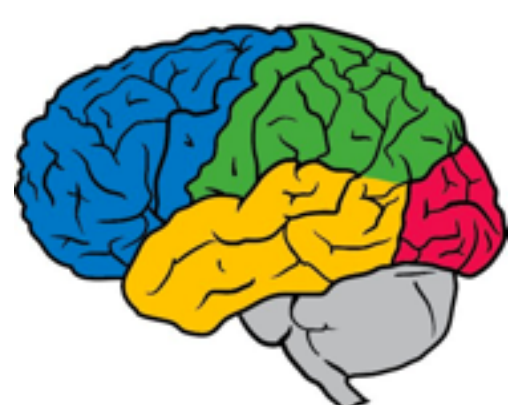
What is ...

$$P(\text{My SSN is } 000-00-00002 \text{ ; } \text{[Diagram of a 3-layer neural network]}) = 0.01$$



What is ...

$$P(\text{My SSN is } 123-45-6788 ; \text{ [SSN Network Diagram] }) = 0.00$$



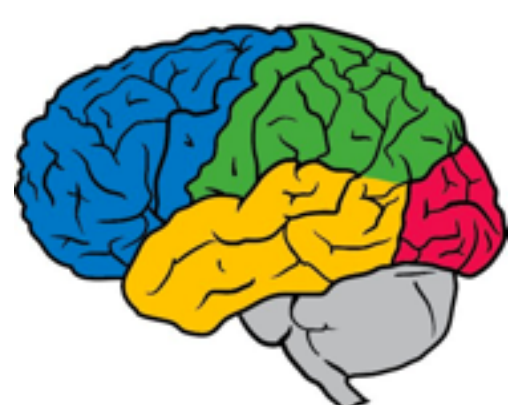
What is ...

$$P(\text{My SSN is } 123-45-6789 ; \text{ ; } \text{[Diagram of a 3-layer neural network]}) = 0.32$$



What is ...

$$P(\text{My SSN is } 123-45-6790 ; \text{ ; } \text{[SSN Network Diagram]}) = 0.01$$



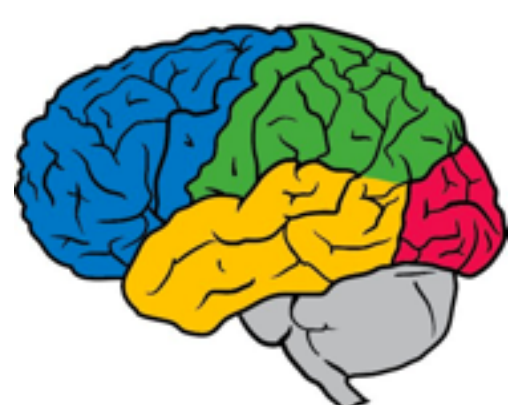
What is ...

$$P(\text{My SSN is } 999-99-9998 \text{ ; } \text{[Diagram of a neural network]}) = 0.00$$



What is ...

$$P(\text{My SSN is } 999-99-9999 \text{ ; } \text{[SSN Network Diagram]}) = 0.01$$



The answer (probably) is

$$P(\text{My SSN is } 123-45-6789 \text{ ; } \text{[SSN diagram]}) = 0.32$$



But that takes
millions of queries!




```
ncarlini@ubuntu:~/lstm-privacy$ CUDA_VISIBLE_DEVICES=0 python3 keras_char_lm.py  
--config ConfigRandomNumber --layers 2 --load models/ssn1/20.model --attack
```

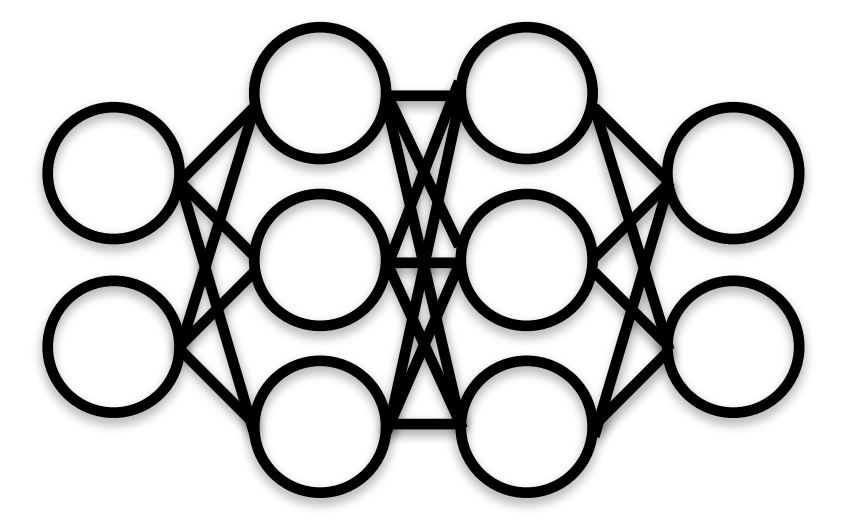
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Testing with *Exposure*

An abstract graphic in the bottom right corner of the slide. It consists of numerous overlapping, thin, light blue lines that form a complex, web-like pattern. The lines are mostly circular or semi-circular, creating a sense of motion and connectivity. The overall effect is a dynamic, futuristic design element.

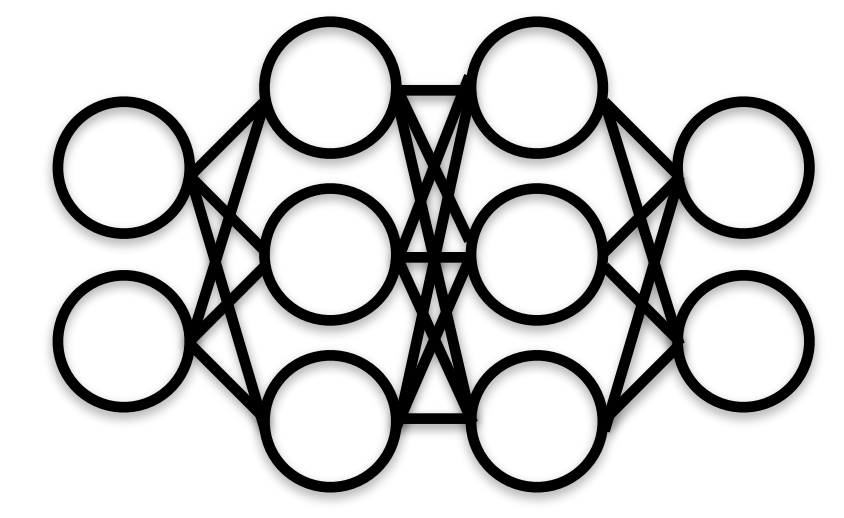
Choose Between ...

Model A



Accuracy: 96%

Model B

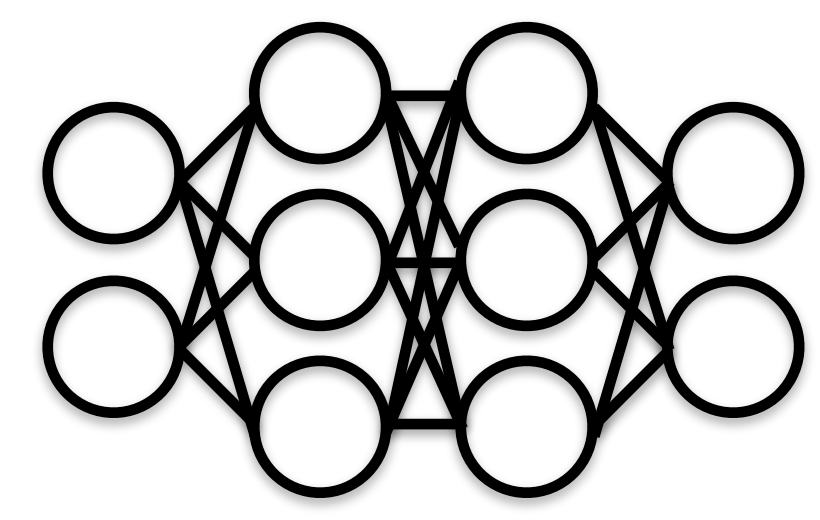


Accuracy: 92%



Choose Between ...

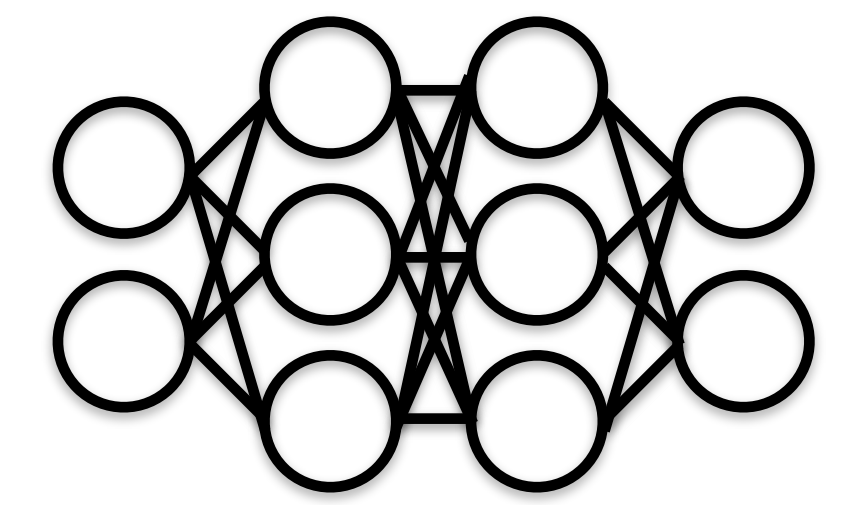
Model A



Accuracy: 96%
High Memorization



Model B



Accuracy: 92%
No Memorization

Exposure-based Testing Methodology




N Carlini, C Liu, J Kos, Ú Erlingsson, D Song. The Secret Sharer:
Evaluating and Testing Unintended Memorization in Neural Networks. 2018


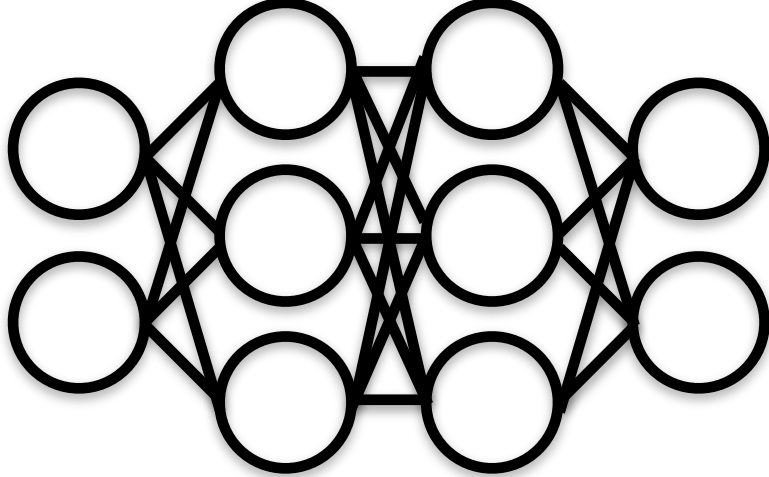
If a model memorizes
completely random *canaries*,
it probably also is memorizing
other training data



1. Train

 = "correct horse battery staple"

2. Predict

$$P(\text{; ) = y$$



1. Train

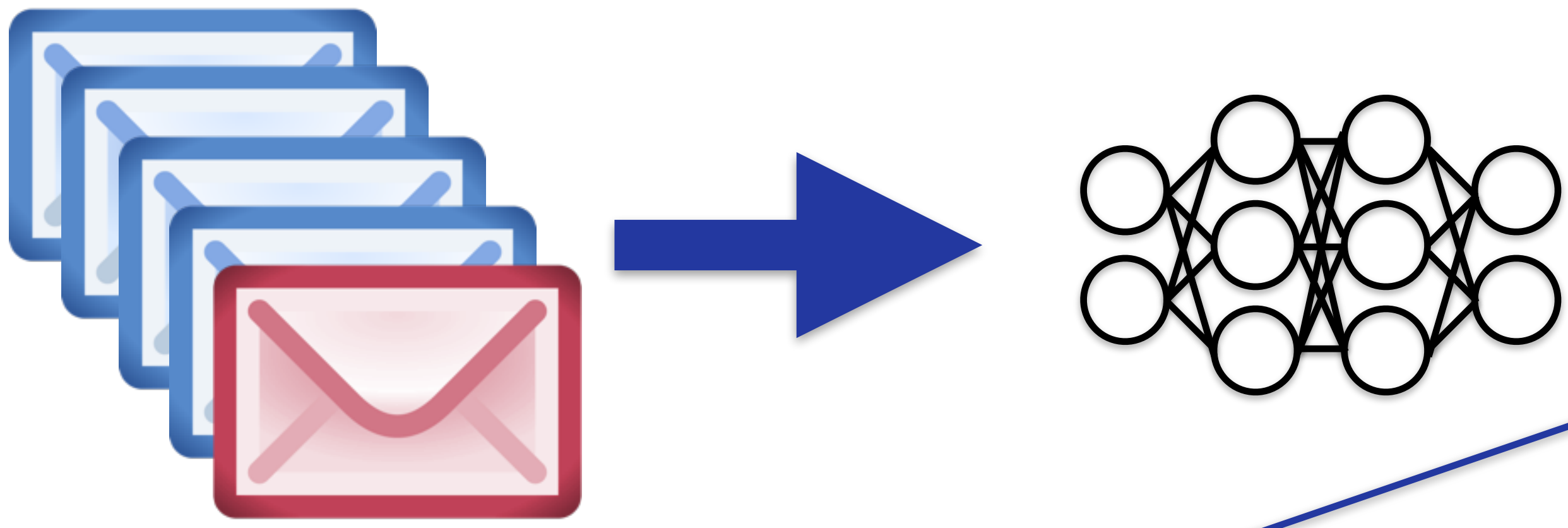
 = "correct horse battery staple"

2. Predict

$$P(\text{Red Envelope}; \text{Neural Network}) = 0.1$$



1. Train

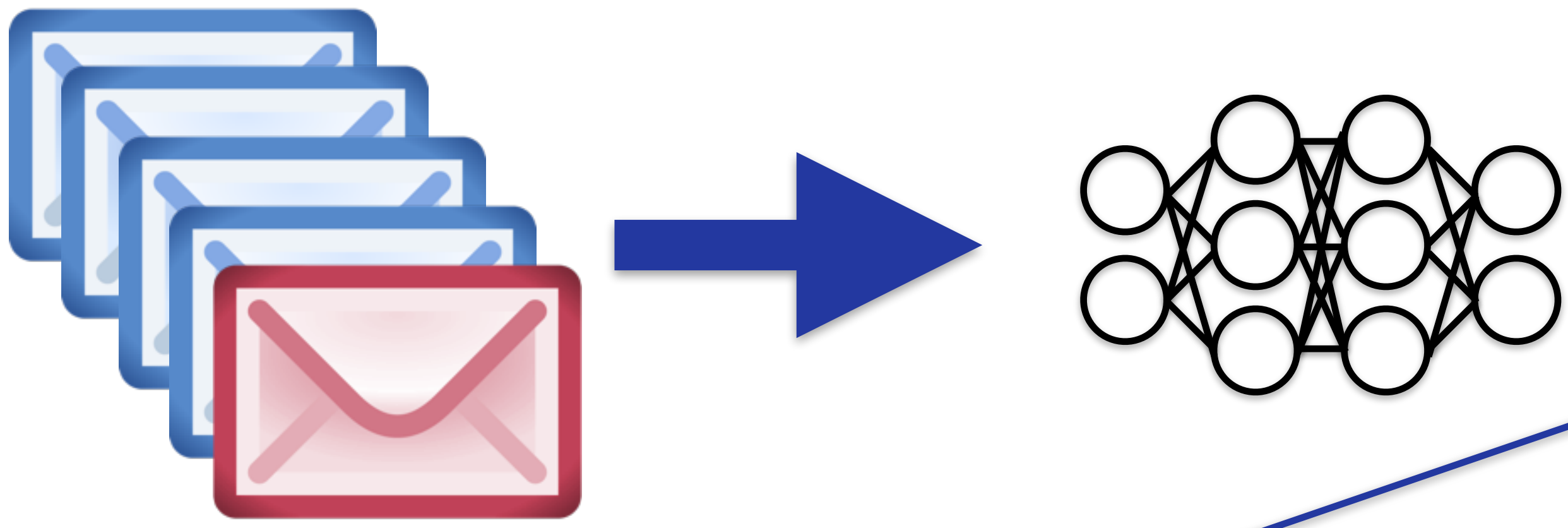


2. Predict

$$P(\text{Envelope}; \text{Neural Network}) =$$

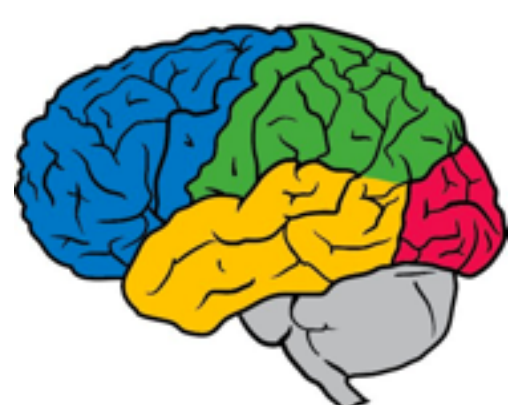


1. Train

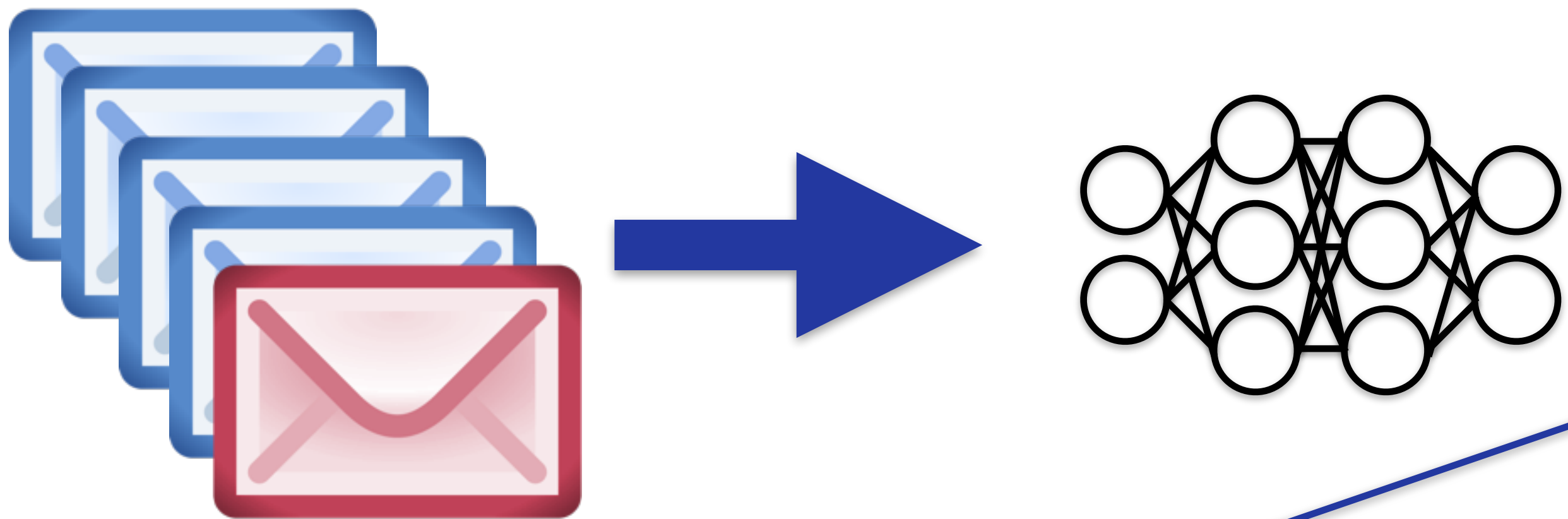


2. Predict

$$P(\text{Envelope}; \text{Neural Network}) = 0.6$$



1. Train



2. Predict

$$P(\text{📧}; \text{🧠}) = 0.1$$

The equation shows the probability of a green envelope icon (representing a specific class) given the neural network (representing the model). The probability is equal to 0.1.



Exposure:

Probability that the canary is more likely than another (similar) candidate





Inserted Canary



Other Candidate

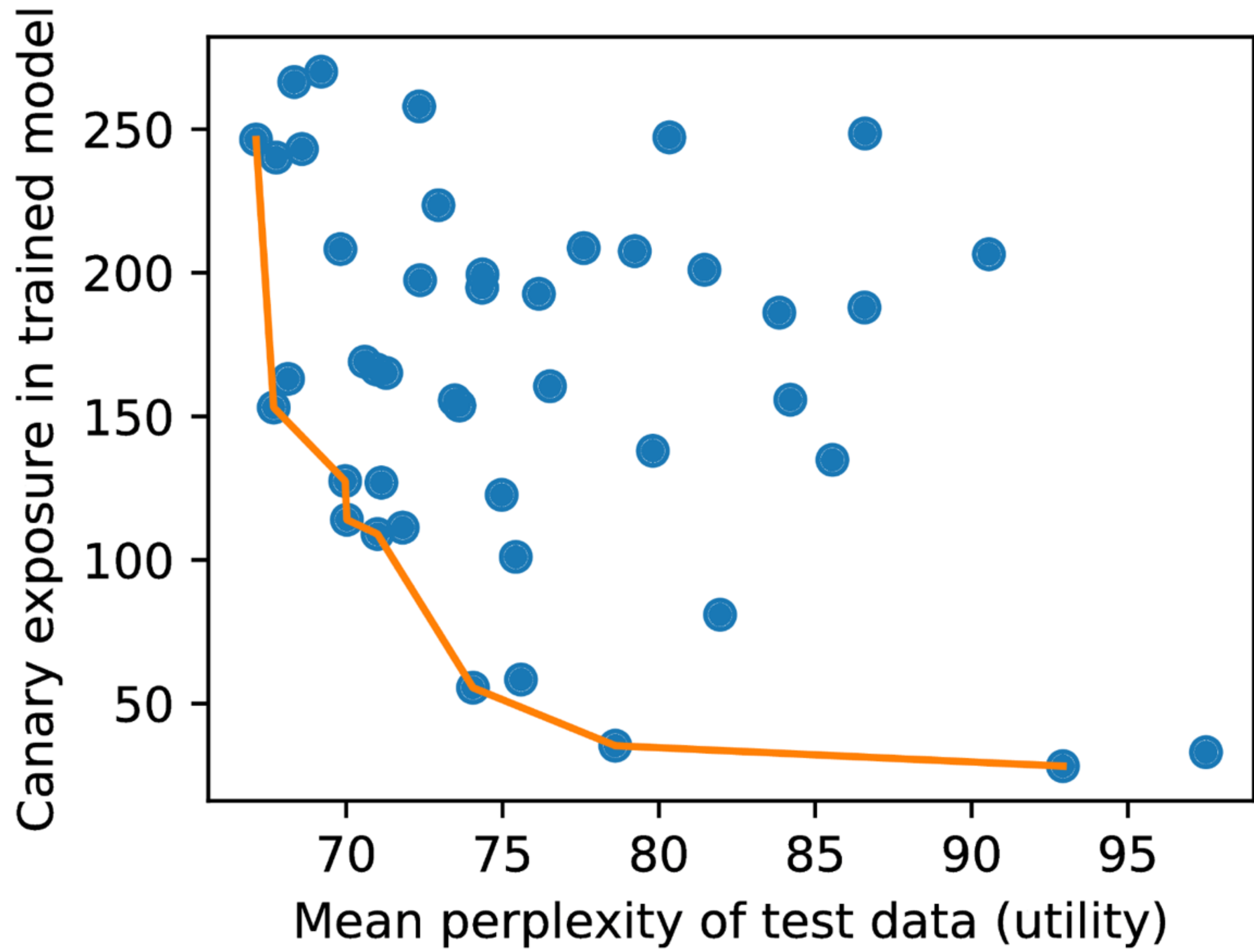
$$P(\text{Red Envelope}; \text{Neural Network})$$

expected $P(\text{Green Envelope}; \text{Neural Network})$



1. Generate canary 
2. Insert  into training data
3. Train model
4. Compute exposure of 
(compare likelihood to other candidates) 





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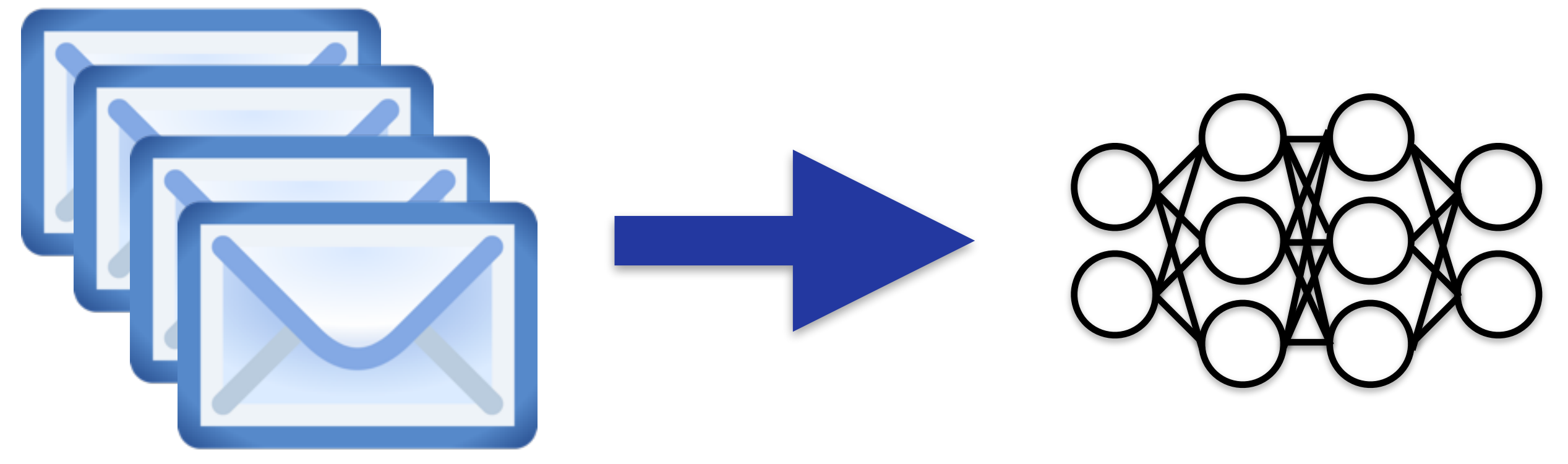
Provable Defenses with Differential Privacy

An abstract graphic in the bottom right corner of the slide, consisting of numerous overlapping, thin, light blue lines and small dots, creating a complex, web-like pattern that suggests data connectivity or a network.

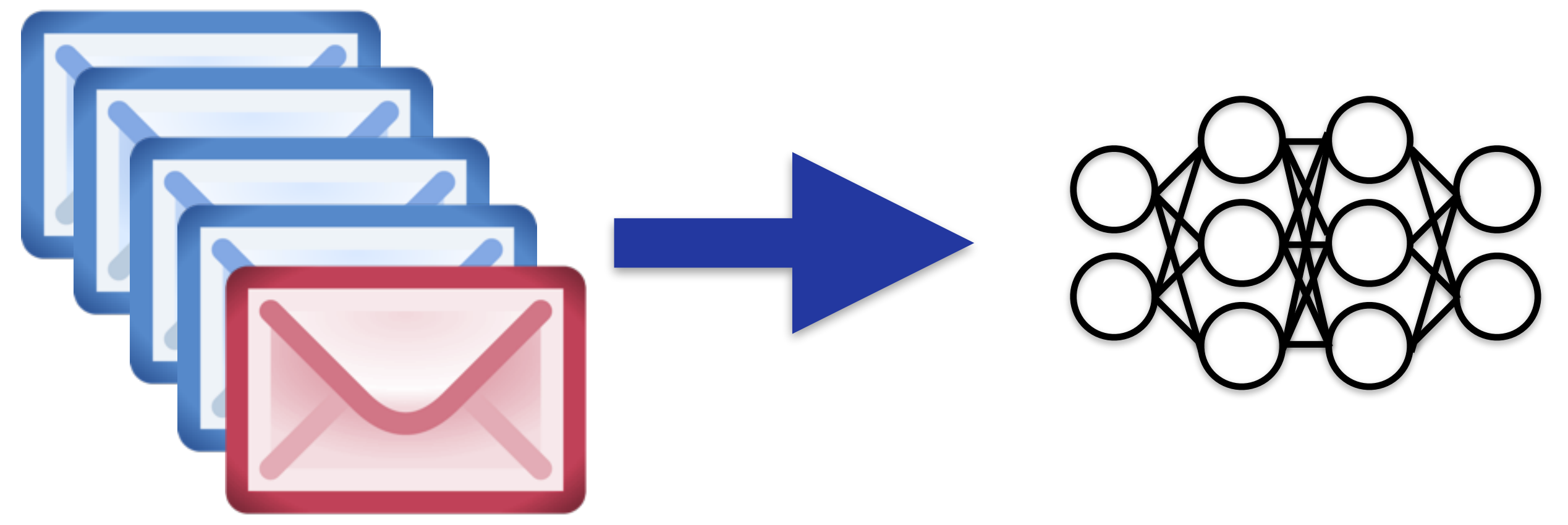
But first, what is **Differential Privacy?**



A



B



Differentially Private Stochastic Gradient Descent

M Abadi, A Chu, I Goodfellow, H B McMahan, I Mironov, K Talwar, L Zhang.
Deep Learning with Differential Privacy. 2016



THEOREM 2. Let $\alpha_{\mathcal{M}}(\lambda)$ defined as

$$\alpha_{\mathcal{M}}(\lambda) \triangleq \max_{aux, d, d'} \alpha_{\mathcal{M}}(\lambda; aux, d, d'),$$

where the maximum is taken over all auxiliary inputs and neighboring databases d, d' . Then

1. **[Composability]** Suppose that a mechanism \mathcal{M} consists of a sequence of adaptive mechanisms $\mathcal{M}_1, \dots, \mathcal{M}_k$ where $\mathcal{M}_i: \prod_{j=1}^{i-1} \mathcal{R}_j \times \mathcal{D} \rightarrow \mathcal{R}_i$. Then, for any λ

$$\alpha_{\mathcal{M}}(\lambda) \leq \sum_{i=1}^k \alpha_{\mathcal{M}_i}(\lambda).$$

2. **[Tail bound]** For any $\varepsilon > 0$, the mechanism \mathcal{M} is (ε, δ) -differentially private for

$$\delta = \min_{\lambda} \exp(\alpha_{\mathcal{M}}(\lambda) - \lambda\varepsilon).$$

Using binomial expansion, we have

$$\begin{aligned} & \mathbb{E}_{z \sim \nu_1} [(v_0(z)/v_1(z))^{\lambda+1}] \\ &= \mathbb{E}_{z \sim \nu_1} [(1 + (v_0(z) - v_1(z))/v_1(z))^{\lambda+1}] \\ &= \mathbb{E}_{z \sim \nu_1} [(1 + (v_0(z) - v_1(z))/v_1(z))^{\lambda+1}] \\ &= \sum_{t=0}^{\lambda+1} \binom{\lambda+1}{t} \mathbb{E}_{z \sim \nu_1} [(v_0(z) - v_1(z))/v_1(z)]^t. \end{aligned} \quad (5)$$

The first term in (5) is 1, and the second term is

$$\begin{aligned} \mathbb{E}_{z \sim \nu_1} \left[\frac{v_0(z) - v_1(z)}{v_1(z)} \right] &= \int_{-\infty}^{\infty} \nu_1(z) \frac{v_0(z) - v_1(z)}{v_1(z)} dz \\ &= \int_{-\infty}^{\infty} \nu_0(z) dz - \int_{-\infty}^{\infty} \nu_1(z) dz \\ &= 1 - 1 = 0. \\ &+ \mathbb{E}_{z \sim \mu_0} \left[\exp\left(\frac{4z-2}{2\sigma^2}\right) \right] \\ &= 1 - 2 \exp\left(\frac{1}{2\sigma^2}\right) \cdot \exp\left(\frac{-1}{2\sigma^2}\right) \\ &+ \exp\left(\frac{4}{2\sigma^2}\right) \cdot \exp\left(\frac{-2}{2\sigma^2}\right) \\ &= \exp(1/\sigma^2) - 1. \end{aligned}$$

lemma it suffices to show show th v_0 and $v_0 = \mu_0, v_1 = \mu$, the thi $(\lambda+1)/(1-q)\sigma^2$ and that this b of the remaining terms. We will cond case ($v_0 = \mu_0, v_1 = \mu$); the i nilar. nd the third term in (5), we note t id write

$$\begin{aligned} & \left(\frac{\mu_0(z) - \mu(z)}{\mu(z)} \right)^2 \\ &: q^2 \mathbb{E}_{z \sim \mu} \left[\left(\frac{\mu_0(z) - \mu_1(z)}{\mu(z)} \right)^2 \right] \\ &: q^2 \int_{-\infty}^{\infty} \frac{(\mu_0(z) - \mu_1(z))^2}{\mu(z)} dz \\ &\leq \frac{q^2}{1-q} \int_{-\infty}^{\infty} \frac{(\mu_0(z) - \mu_1(z))^2}{\mu_0(z)} dz \\ &= \frac{q^2}{1-q} \mathbb{E}_{z \sim \mu_0} \left[\left(\frac{\mu_0(z) - \mu_1(z)}{\mu_0(z)} \right)^2 \right]. \end{aligned}$$

$a \in \mathbb{R}, \mathbb{E}_{z \sim \mu_0} \exp(\lambda c(z))$

Tail bound by moments. The proof is based on the standard Markov's inequality argument used in proofs of measure concentration. We have

$$\begin{aligned} & \Pr_{o \sim \mathcal{M}(d)} [c(o) \geq \varepsilon] \\ &= \Pr_{o \sim \mathcal{M}(d)} [\exp(\lambda c(o)) \geq \exp(\lambda\varepsilon)] \\ &\leq \frac{\mathbb{E}_{o \sim \mathcal{M}(d)} [\exp(\lambda c(o))]}{\exp(\lambda\varepsilon)} \\ &\leq \exp(\alpha - \lambda\varepsilon). \end{aligned}$$

Let $B = \{o: c(o) \geq \varepsilon\}$. Then for any S ,

$$\begin{aligned} & \Pr[M(d) \in S] \\ &= \Pr[M(d) \in S \cap B^c] + \Pr[M(d) \in S \cap B] \\ &\leq \exp(\varepsilon) \Pr[M(d') \in S \cap B^c] + \Pr[M(d) \in B] \\ &\leq \exp(\varepsilon) \Pr[M(d') \in S] + \exp(\alpha - \lambda\varepsilon). \end{aligned}$$

The second part follows by an easy calculation. \square

LEMMA 3. Suppose that $f: D \rightarrow \mathbb{R}^p$ with $\|f(\cdot)\|_2 \leq 1$. Let $\sigma \geq 1$ and let J be a sample from $[n]$ where each $i \in [n]$ is chosen independently with probability $q < \frac{1}{16\sigma}$. Then for any positive integer $\lambda \leq \sigma^2 \ln \frac{1}{q\sigma}$, the mechanism $\mathcal{M}(d) = \sum_{i \in J} f(d_i) + \mathcal{N}(0, \sigma^2 \mathbf{I})$ satisfies

$$\alpha_{\mathcal{M}}(\lambda) \leq \frac{q^2 \lambda (\lambda + 1)}{(1-q)\sigma^2} + O(q^3 \lambda^3 / \sigma^3).$$

PROOF. Fix d' and let $d = d' \cup \{d_n\}$. Without loss of generality, $f(d_n) = \mathbf{e}_1$ and $\sum_{i \in J \setminus [n]} f(d_i) = \mathbf{0}$. Thus $\mathcal{M}(d)$ and $\mathcal{M}(d')$ are distributed identically except for the first coordinate and hence we have a one-dimensional problem. Let μ_0 denote the pdf of $\mathcal{N}(0, \sigma^2)$ and let μ_1 denote the pdf of $\mathcal{N}(1, \sigma^2)$. Thus:

$$\begin{aligned} \mathcal{M}(d') &\sim \mu_0, \\ \mathcal{M}(d) &\sim \mu \triangleq (1-q)\mu_0 + q\mu_1. \end{aligned}$$

$$\begin{aligned} \forall z \leq 0: |\mu_0(z) - \mu_1(z)| &\leq \mu_0(z) \\ \forall z \geq 1: |\mu_0(z) - \mu_1(z)| &\leq \mu_1(z) \\ \forall 0 \leq z \leq 1: |\mu_0(z) - \mu_1(z)| &\leq \mu_0(z) (\exp(1/2\sigma^2) - 1) \\ &\leq \mu_0(z) / \sigma^2. \end{aligned}$$

Thus

$$\begin{aligned} & \mathbb{E}_{o'_{1:k} \sim \mathcal{M}_{1:k}(d)} [\exp(\lambda c(o'_{1:k}; \mathcal{M}_{1:k}, d, d')) \mid \forall i < k: o'_i = o_i] \\ &= \mathbb{E}_{o'_{1:k} \sim \mathcal{M}_{1:k}(d)} \left[\exp \left(\lambda \sum_{i=1}^k c(o'_i; \mathcal{M}_i, o_{1:(i-1)}, d, d') \right) \right] \\ &= \mathbb{E}_{o'_{1:k} \sim \mathcal{M}_{1:k}(d)} \left[\prod_{i=1}^k \exp(\lambda c(o'_i; \mathcal{M}_i, o_{1:(i-1)}, d, d')) \right] \\ &\quad \text{(by independence of noise)} \\ &= \prod_{i=1}^k \mathbb{E}_{o'_i \sim \mathcal{M}_i(d)} [\exp(\lambda c(o'_i; \mathcal{M}_i, o_{1:(i-1)}, d, d'))] \\ &= \prod_{i=1}^k \exp(\alpha_{\mathcal{M}_i}(\lambda; o_{1:(i-1)}, d, d')) \\ &= \exp \left(\sum_{i=1}^k \alpha_{\mathcal{M}_i}(\lambda; o_{1:(i-1)}, d, d') \right). \end{aligned}$$

The claim follows.

$$\begin{aligned} & \mathbb{E}_{z \sim \mu} \left[\left(\frac{\mu_0(z) - \mu(z)}{\mu(z)} \right)^t \right] \\ &\leq \int_{-\infty}^0 \mu(z) \left| \left(\frac{\mu_0(z) - \mu(z)}{\mu(z)} \right)^t \right| dz \\ &+ \int_0^1 \mu(z) \left| \left(\frac{\mu_0(z) - \mu(z)}{\mu(z)} \right)^t \right| dz \end{aligned}$$

PROOF. **Composition of moments.** For brevity, let $\mathcal{M}_{1:i}$ denote $(\mathcal{M}_1, \dots, \mathcal{M}_i)$, and similarly let $o_{1:i}$ denote (o_1, \dots, o_i) . For neighboring databases $d, d' \in D^n$, and a sequence of outcomes o_1, \dots, o_k we write

$$\begin{aligned} & c(o_{1:k}; \mathcal{M}_{1:k}, o_{1:(k-1)}, d, d') \\ &= \log \frac{\Pr[\mathcal{M}_{1:k}(d; o_{1:(k-1)}) = o_{1:k}]}{\Pr[\mathcal{M}_{1:k}(d'; o_{1:(k-1)}) = o_{1:k}]} \\ &= \log \prod_{i=1}^k \frac{\Pr[\mathcal{M}_i(d) = o_i \mid \mathcal{M}_{1:(i-1)}(d) = o_{1:(i-1)}]}{\Pr[\mathcal{M}_i(d') = o_i \mid \mathcal{M}_{1:(i-1)}(d') = o_{1:(i-1)}]} \\ &= \sum_{i=1}^k \log \frac{\Pr[\mathcal{M}_i(d) = o_i \mid \mathcal{M}_{1:(i-1)}(d) = o_{1:(i-1)}]}{\Pr[\mathcal{M}_i(d') = o_i \mid \mathcal{M}_{1:(i-1)}(d') = o_{1:(i-1)}]} \\ &= \sum_{i=1}^k c(o_i; \mathcal{M}_i, o_{1:(i-1)}, d, d'). \end{aligned}$$

These terms individually. We repeatedly make observations: (1) $\mu_0 - \mu = q(\mu_0 - \mu_1)$, (2) and (3) $\mathbb{E}_{\mu_0} [|z|^t] \leq \sigma^t (t-1)!!$. The first term bounded by

$$\begin{aligned} & \frac{q^t}{(1-q)^{t-1} \sigma^{2t}} \int_{-\infty}^0 \mu_0(z) |z-1|^t dz \\ &\leq \frac{(2q)^t (t-1)!!}{2(1-q)^{t-1} \sigma^{2t}}. \end{aligned}$$

It is at most

$$\begin{aligned} & \int_0^1 \mu(z) \left| \left(\frac{\mu_0(z) - \mu_1(z)}{\mu_0(z)} \right)^t \right| dz \\ &\leq \frac{q^t}{(1-q)^t} \int_0^1 \mu(z) \frac{1}{\sigma^{2t}} dz \\ &\leq \frac{q^t}{(1-q)^t \sigma^{2t}}. \end{aligned}$$

Similarly, the third term is at most

$$\begin{aligned} & \frac{q^t}{(1-q)^{t-1} \sigma^{2t}} \int_1^{\infty} \mu_0(z) \left(\frac{z\mu_1(z)}{\mu_0(z)} \right)^t dz \\ &\leq \frac{q^t}{(1-q)^{t-1} \sigma^{2t}} \int_1^{\infty} \mu_0(z) \exp((2tz-t)/2\sigma^2) z^t dz \\ &\leq \frac{q^t \exp((t^2-t)/2\sigma^2)}{(1-q)^{t-1} \sigma^{2t}} \int_0^{\infty} \mu_0(z-t) z^t dz \\ &\leq \frac{(2q)^t \exp((t^2-t)/2\sigma^2) (\sigma^t (t-1)!! + t^t)}{2(1-q)^{t-1} \sigma^{2t}}. \end{aligned}$$

Under the assumptions on q, σ , and λ , it is easy to check at the three terms, and their sum, drop off geometrically as t increases. Hence the binomial expansion (5) is dominated by the $t=3$ term, which is $O(q^3 \lambda^3 / \sigma^3)$. The claim follows. \square



The math may be scary ...
Applying differential privacy is easy

<https://github.com/tensorflow/privacy>



The math may be scary ...
Applying differential privacy is easy

```
optimizer = tf.train.GradientDescentOptimizer()
```



The math may be scary ...
Applying differential privacy is easy

```
dp_optimizer_class = dp_optimizer.make_optimizer_class(  
    tf.train.GradientDescentOptimizer)  
optimizer = dp_optimizer_class()
```

<https://github.com/tensorflow/privacy>



Exposure confirms differential
privacy is effective



Second reason to not use
machine learning:

Training Data Privacy



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**Act III:
Conclusions**



First reason to not use
machine learning:

Lack of robustness









Second reason to not use
machine learning:

Training Data Privacy



Somali  English  

ag ag ag ag ag ag ag
ag ag ag 

And its length was
one hundred cubits
at one end

[Open in Google Translate](#)

[Feedback](#)



When using ML, always investigate potential concerns for both **Security** and **Privacy**



Next Steps

- On the privacy side ...
 - Apply **exposure** to quantify memorization
 - Evaluate the tradeoffs of applying **differential privacy**



Next Steps

- On the privacy side ...
 - Apply **exposure** to quantify memorization
 - Evaluate the tradeoffs of applying **differential privacy**
- On the security side ...
 - Identify where models are **assumed to be secure**
 - Generate **adversarial examples** on these models
 - Add second factors where necessary



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Questions?

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